# Modelling and Analysis of Off-Chip Optical and Electrical Interconnect and Interface

Jiang Xu









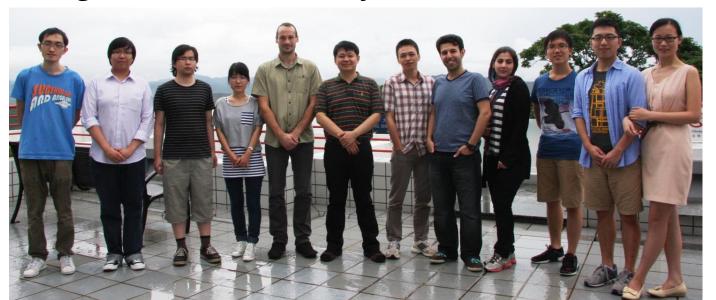
## Acknowledgement

#### Current PhD students

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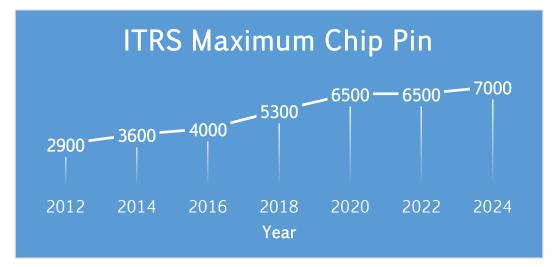


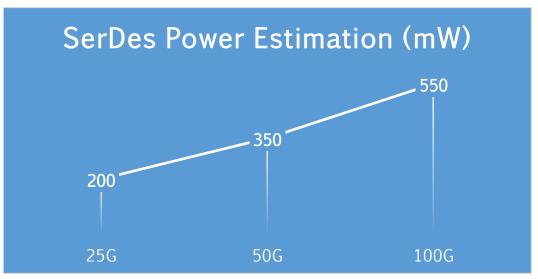




## Challenges of Inter/Intra-Chip Electrical Interconnect

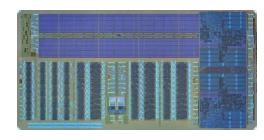
- More communications from more cores
  - Cisco QuantumFlow (40), Intel Phi (72), Tilera Tile (72), PicoChip (300) ...
  - Blade server, micro server, disaggregated server ...
- Tighter I/O bandwidth
  - Maximum pin count of package grows slow
  - Higher packaging and PCB cost
- Larger latency
  - Multiple clock cycles are required to cross a chip
- Higher energy consumption and loss
  - Dynamic and leakage power of drivers and buffers
  - ~35dB/m @12.5G on high-quality PCB
- SerDes energy and performance bottleneck
  - ~5pJ/bit @ 100G





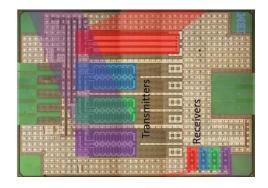
#### Optical Interconnect

- Photonic technologies have been successfully used in WAN and LAN
  - Showed strengths in multicomputer systems and Internet core routers
- Benefit from more matured silicon-based technologies
  - Micron-scale devices working at picosecond-level are widely demonstrated
- Commercialization efforts
  - IBM, Intel (Omni-Path), HP (Machine), Oracle (UNIC), STMicro, NTT, NEC, Fujitsu (PECST), Huawei ...
  - Startups: Luxtera-STMicro, Lightwire/Cisco, Kotura/Mellanox, Caliopa/Huawei, Aurrion, OneChip, Skorpios ...
  - EDA: Cadence-PhoeniX-Lumerical, Mentor Graphics-Lumerical, RSoft/Synopsys ...
- Questions remain: What? How? & Why?



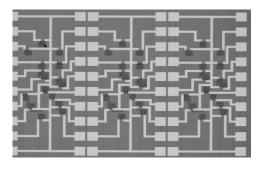
#### Integrated OE Interfaces & Processor

C. Sun *et al.*, "Single-chip microprocessor that communicates directly using light", Nature 2015



#### Integrated OE Interfaces

D.M. Gill, et al., "Demonstration of Error Free Operation Up To 32 Gb/s From a CMOS Integrated Monolithic Nano-Photonic Transmitter". CLEO 2015

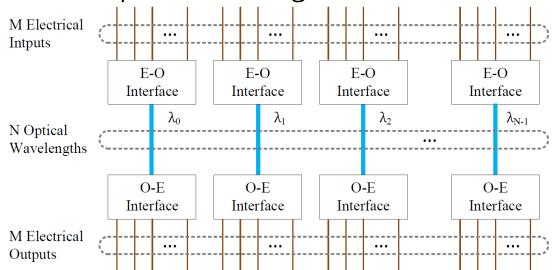


#### Integrated Optical Switches

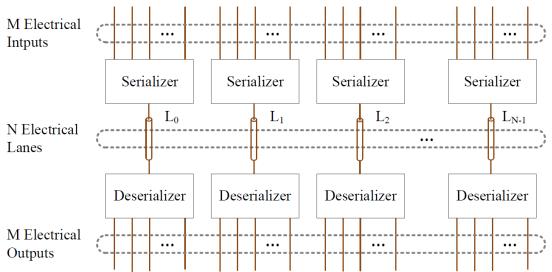
R. Ji, J. Xu, L. Yang, "Five-Port Optical Router Based on Microring Switches for Photonic Networks-on-Chip", IEEE Photonics Technology Letters, March, 2013

#### Off-Chip Interconnect Overview

- Optical interconnect OI(M,N) include
  - M electrical inputs
  - M electrical outputs
  - N optical wavelengths



- Electrical interconnect EI(M,N) include
  - M electrical inputs
  - M electrical outputs
  - N electrical lanes

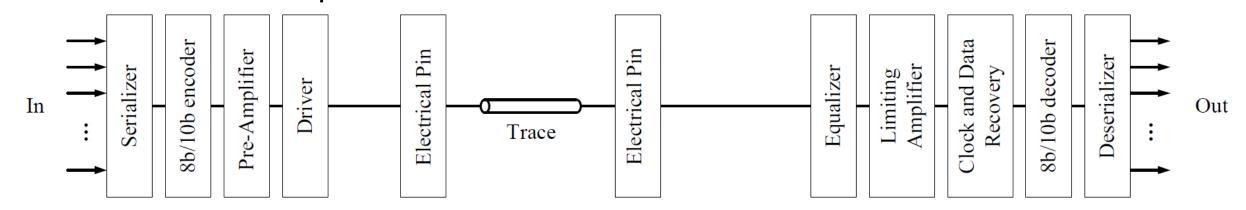


<sup>\*</sup> Zhehui Wang, Jiang Xu, et al, "A Holistic Modelling and Analysis of Optical-Electrical Interfaces for Inter/Intra-chip Interconnects," IEEE TVLSI 2016

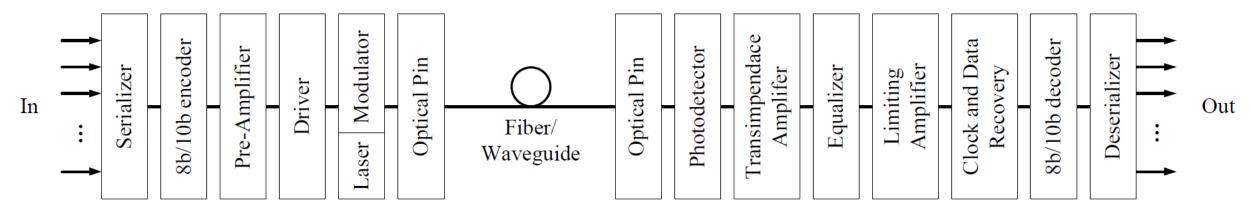
<sup>\*</sup> Zhehui Wang, Jiang Xu, et al, "Improve Chip Pin Performance Using Optical Interconnects," IEEE TVLSI 2015

#### Off-Chip Interconnect Structure Examples

• Electrical off-chip Interconnect



Optical off-chip Interconnect



## Holistic Models and Comparisons

- Optical interconnects vs. electrical Interconnects
  - Bandwidth
  - Area and linear bandwidth density
  - Latency
  - Energy efficiency
  - Signal integrity
  - Area
- OE interfaces
- SerDes designs
- Packaging options
- Different structures

#### Outline

- Introduction
- Modelling off-chip interconnects and interfaces
  - Electrical Interconnects
  - Optical Interconnects
  - SerDes and O-E interface
- A new O-E interface
- Quantitative analysis and comparisons
- Conclusions

## Basic Design Parameters

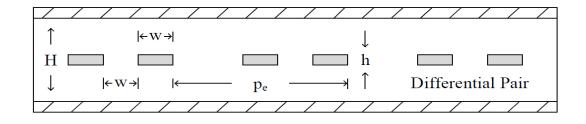
	Saraswat	Chen	Esener	OEIL
1 Energy Efficiency			✓	✓
1.1 Power Consumption	✓	✓	✓	✓
1.1.1 Transmitter Power	✓	*	✓	✓
1.1.1.1 Crosstalk Noise				✓
1.1.1.1.1 Number of Wavelengths				✓
1.1.1.1.2 Wavelength Spacing				✓
1.1.1.1.3 MR Characteristics				✓
1.1.1.2 Optical Power Loss			✓	✓
1.1.1.2.1 Coupler Loss				✓
1.1.1.2.2 Waveguide Attenuation				✓
1.1.1.2.3 Interconnect Length				✓
1.1.1.2.4 MR Characteristics	✓			✓
1.1.1.3 Receiver Sensitivity	✓			✓
1.1.1.3.1 Photodetector Responsively	*			✓
1.1.1.3.2 Signal to Noise Ratio	*			✓
1.1.1.3.3 Modulation Frequency	*			✓
1.1.1.3.4 TIA Transimpedance				✓
1.1.1.3.5 Limiting Amplifier Sensitivity				✓
1.1.1.4 Laser & Modulator Parameters	✓	*	*	✓
1.1.1.4.1 Threshold Current			*	✓
1.1.1.4.2 Slope Efficiency	✓		✓	✓
1.1.1.4.3 Power Extinction Ratio	✓	*	✓	✓

	Saraswat	Chen	Esener	OEIL
1.1.2 Receiver Power	*	✓	✓	✓
1.1.2.1 TIA Power	*	✓	✓	✓
1.1.2.1.1 Photodetector Capacitance	*		✓	✓
1.1.2.1.2 Signal Frequency	*			✓
1.1.2.1.3 TIA Supply Voltage		✓	✓	✓
1.1.2.2 LA Power	*	✓	✓	✓
1.1.2.2.1 LA Supply Current		✓	✓	✓
1.1.2.2.2 LA Supply Voltage		✓	✓	✓
1.2 Data Rate	*			✓
2 Bandwidth Density		*		✓
2.1 Data Rate per Wavelength		*		✓
2.2 Number of Wavelengths		*		✓
2.2.1 MR Characteristics				✓
2.2.2 Wavelength Spacing				✓
2.3 Optical Pin Pitch				✓
2.4 Waveguide Pitch		✓		✓
3 Latency		✓		✓
3.1 RC Delay		✓		✓
3.2 Propagation Delay		*		✓
3.2.1 Interconnect Length				✓
3.2.2 Signal Propagation Speed		*		✓

<sup>\*</sup> Mentioned but without an exact model

#### **Electrical Crosstalk Noise**

- Top/bottom layer for power/ground and inner layer for signal
- The differential traces on PCB board has the following parameters:
  - H height between two panels
  - w width of a trace
  - *h* height of a trace
  - *P<sub>e</sub>* pitch of differential traces:



• C(d) is the crosstalk noise coefficient of two traces with distance d

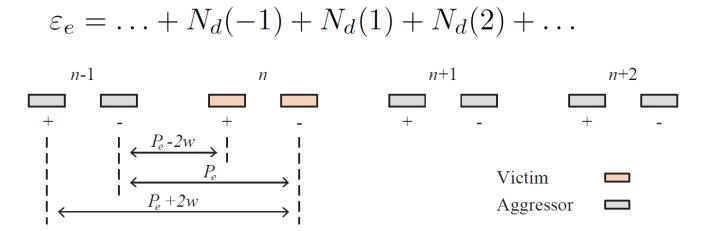
$$c(d) = H^2/(4d^2 + H^2)$$

#### Electrical Crosstalk Noise

N<sub>d</sub>(i) is the crosstalk noise coefficient between two differential pair n and pair n+i

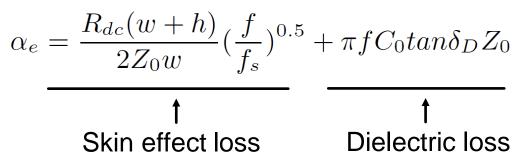
$$N_d(i) = c(|i|p_e - 2w) - 2c(|i|p_e) + c(|i|p_e + 2w)$$

 The total crosstalk noise coefficient is the summation of coefficients from neighboring pairs



#### **Electrical Interconnect Attenuation**

- The attenuation factor of PCB trace has two terms, which are skin effect loss and dielectric loss
  - $R_{dc}$  direct current resistance
  - $Z_0$  characteristic impedance
  - $f_s$  frequency of half skin depth
  - $C_0$  the capacitance per unit length
  - $tan\delta_D$  loss tangent in dielectric material



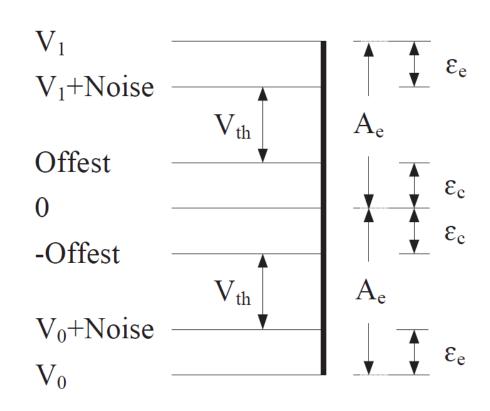
- The total attenuation of electrical interconnects
  - $\eta_e$  coupling loss of electrical pin
  - f working frequency
  - L interconnect length

$$A_e = \frac{\eta_e^2 e^{-\alpha_e L}}{1 - 1}$$
 Coupling loss Propagation loss

## **Electrical Interconnect Sensitivity**

- Only when this voltage difference is grater than |V<sub>th</sub>| of the limiting amplifier signals can be detected
  - $A_e$  attenuation (0~1)
  - $\varepsilon_e$  crosstalk noise coefficient
  - $\varepsilon_o$  receiver offset coefficient:
- The supply current of driver
  - $V_{th}$  threshold voltage
  - $Z_d$  differential impendence

$$I_0 = \frac{2V_{th}}{(A_e - \epsilon_e - \epsilon_0) \cdot Z_d}$$

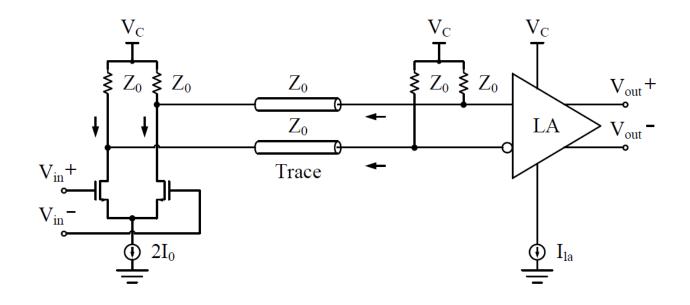


Ratio= 
$$A - \varepsilon_e - \varepsilon_c = \Delta \varepsilon > 0$$

#### **Electrical Interconnect Power Consumption**

- In electrical interconnect, power consumption includes the power of driver, receiver and SerDes
  - $P_{sd}$  power of SerDes
  - $V_c$  supply voltage
  - I<sub>la</sub> supply current of limiting amplifier

$$P_e = P_{sd} + (2I_0 + I_{la})V_c$$



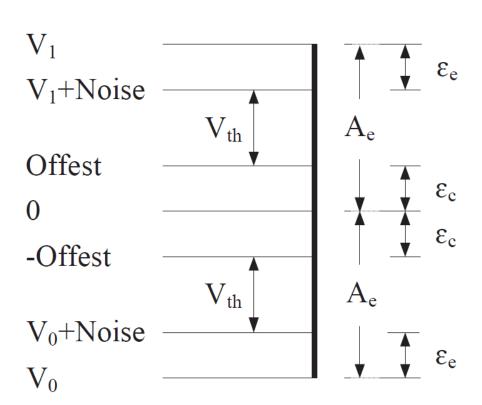
#### **Electrical Interconnect Bandwidth**

• If the signal frequency or the interconnect length is increased, trace attenuation is increased. The ratio  $\Delta\epsilon$  of the receiver amplitude over transmitter amplitude, will be decreased

$$A - \varepsilon_e - \varepsilon_c = \Delta \varepsilon$$

 The maximum bandwidth of electrical interconnect

$$B_e = 2A^{-1}\left(-\frac{\ln(\varepsilon_e + \varepsilon_c + \Delta\varepsilon)}{L}\right)$$

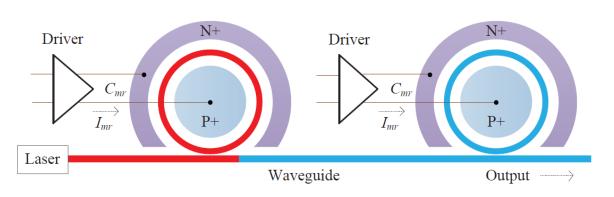


#### Microresonator

- Power of driver P<sub>d</sub>: each time the voltage level of the PN junction is reversed, it is charged or discharged by the driver
  - $C_m$  input capacitance
  - $V_m$  supply voltage
- Power of microresonator P<sub>m</sub>: when the voltage level of the PN junction is high, it is forward biased
  - /<sub>m</sub> static current

$$P_d = f \cdot C_m \cdot V_m^2$$

$$P_m = I_m \cdot V_m$$

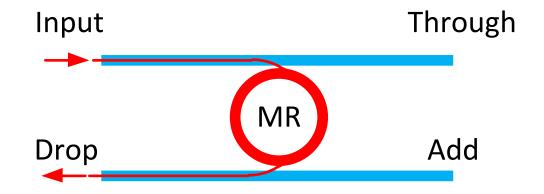


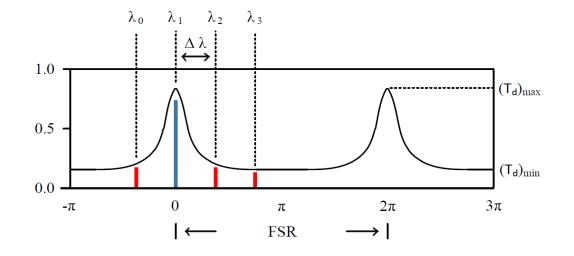
#### Optical Crosstalk Noise

 At the receiver side, there are multiple optical signals in different wavelengths

$$\bullet$$
  $\lambda_0$  ,  $\lambda_1$  ,  $\lambda_2$  ....  $\lambda_n$ 

■ Part of the signal power in wavelength  $\lambda_0$ ,  $\lambda_2$  and  $\lambda_3$  will also appear on the drop port





#### Optical Crosstalk Noise

- In micro-resonator with working wavelength  $\lambda_1$ , the drop port transfer function is
  - $cos\theta(\lambda)$  function of working frequency  $\lambda$
  - r power splitting ratio
  - *a* round trip attenuation

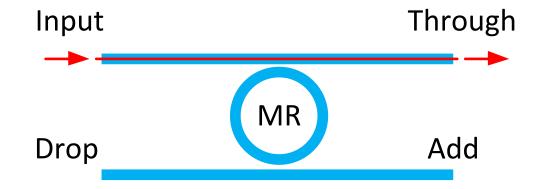
$$T_d(\lambda) = \frac{(1 - r^2)^2 a}{1 - 2r^2 a \cos \theta(\lambda) + r^4 a^2}$$

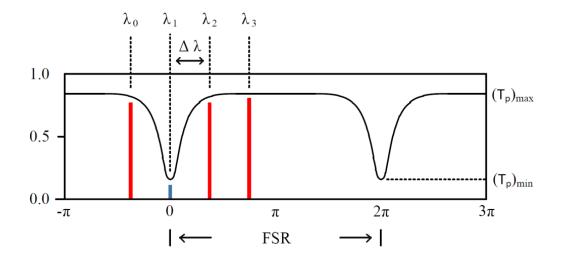
- $\blacksquare$  The optical crosstalk noise coefficient is the summation of unwanted signals whose wavelength is not  $\lambda_1$ 
  - $m_o$  number of wavelengths in optical interconnect
  - △ wavelength spacing

$$\varepsilon_o = 2 \sum_{i=1}^{\lfloor m_o/2 \rfloor} T_d^N(\lambda_1 + i\Delta\lambda)$$

### Optical Interconnect Attenuation

- Before  $\lambda_0$  reaches the filter, it will pass by other micro-resonators with working wavelength
  - $\bullet$   $\lambda_1$ ,  $\lambda_2$  ....  $\lambda_n$
- The attenuation of optical path is the product of:
  - Coupler efficiency
  - Propagation attenuation
  - Passing-by loss of MR
  - Insertion loss of MR



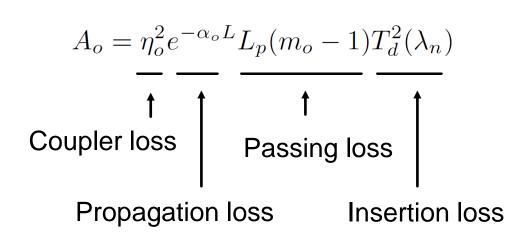


### Optical Interconnect Attenuation

• In microresonator with working frequency  $\lambda_1$ , the through port transfer function is

$$T_p(\lambda) = \frac{r^2 a^2 - 2r^2 a \cos\theta + r^2}{1 - 2r^2 a \cos\theta(\lambda) + r^4 a^2}$$

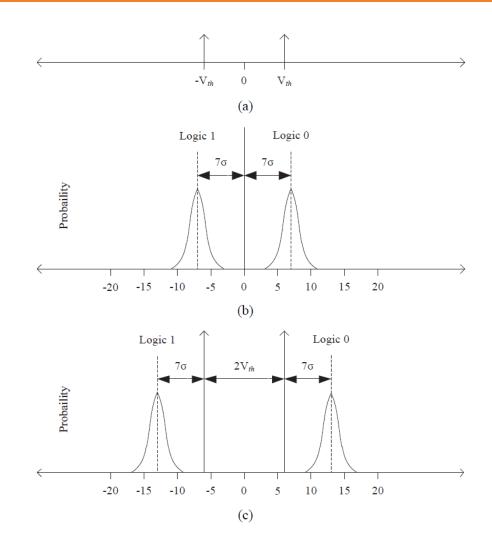
- The attenuation of optical path is the product of four terms
  - $\eta_o$  coupling efficiency of optical pin
  - *a<sub>o</sub>* attenuation of waveguide



## Optical Interconnect Sensitivity

- In optical interconnect, the sensitivity OMA is the difference between two optical power levels P<sub>1</sub> and P<sub>0</sub>
  - **BER** bit error rate
  - *SNR* signal to noise ratio
  - $i_n$  input referred RMS noise density
  - $Z_{tia}$  transimpedance of TIA
  - $\rho$  responsivity of photodetector

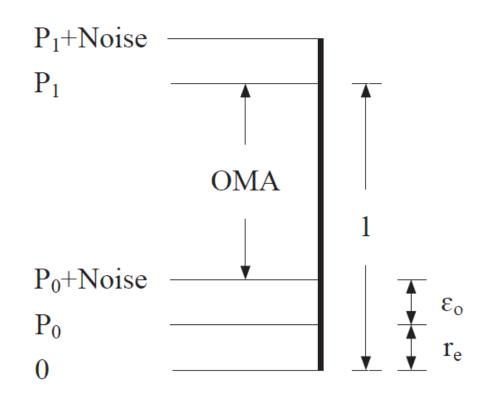
$$OMA = \frac{i_n f^{0.5} \cdot SNR + 2V_{th} Z_{tia}^{-1}}{\rho}$$



## Optical Interconnect Sensitivity

- Only when this voltage difference is grater than OMA, signals can be detected by the limiting amplifier
  - $\varepsilon_o$  crosstalk noise coefficient
  - $r_e$  extinction ratio  $P_1/P_0$
  - $\eta_s$  slope efficiency of laser
  - I<sub>th</sub> threshold current of laser

$$I_{mod} + I_{bias} = \frac{\text{OMA}}{A_o(1 - \varepsilon_o - r_e)\eta_s} + I_{th}$$



Ratio= 
$$1 - \varepsilon_o - r_e > 0$$

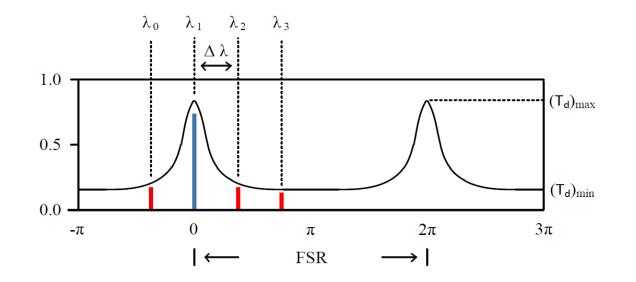
#### Optical Interconnect Bandwidth

- FSR is the spacing between two successive resonance peaks in spectrum
  - $n_e$  MR effective refractive index
  - R MR radius of ring

$$FSR = \frac{\lambda^2}{2\pi n_e R}$$

 Δλ is the wavelength spacing between two neighboring wavelengths

$$\mathbf{B}_o = 2 \lfloor \frac{\mathbf{FSR}}{\Delta \lambda} \rfloor f$$



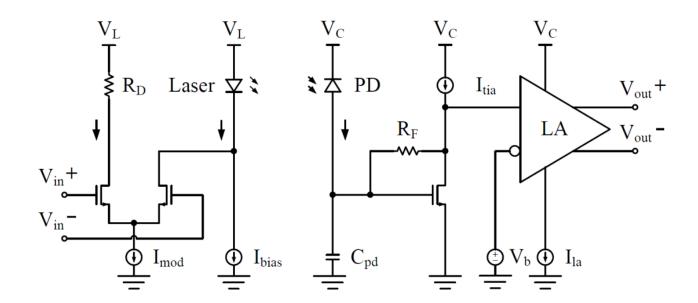
### Optical Interconnect Power Consumption

- In optical interconnect, power consumption includes the power of laser sources, receiver, SerDes and modulator
  - $V_l$  laser supply voltage
  - *I<sub>tia</sub>* TIA supply current
  - Direct modulation

$$P_o = P_{sd} + (I_{mod} + I_{bias})V_l + (I_{tia} + I_{la})V_c$$

Indirect modulation

$$P_{o} = P_{sd} + (I_{mod} + I_{bias})V_{l} + (I_{tia} + I_{la})V_{c} + P_{m} + P_{d}$$



## Optical Interconnect Power Consumption and Area

 The power consumptions of the electrical funneling interface and optical weaving interface

$$P_{feo} = 5log_2 RP_e + P_c + \frac{1}{4}P_d + \frac{1}{2}P_m + P_t + \frac{P_o}{L_i} \qquad P_{weo} = P_e + P_c + \frac{1}{2}P_d + RP_m + RP_t + \frac{P_o}{L_i^R}$$

 The areas of the electrical funneling interface and optical weaving interface

$$S_{feo} = 5log_2 R S_e + S_c + S_m + S_l$$
 
$$S_{weo} = \frac{M}{2N} S_e + S_c + \frac{M}{N} S_m + S_l$$

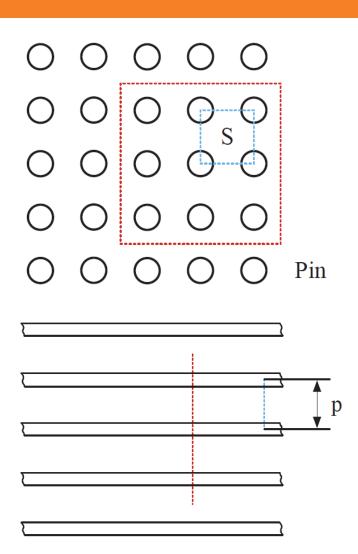
## Area and Linear Bandwidth Density

- Area bandwidth density
  - Bandwidth in a unit area
  - Important to package pin, socket etc.

Area Density = 
$$\frac{\text{Bandwidth } B}{\text{Area } S}$$

- Linear bandwidth density
  - Bandwidth in a unit width
  - Important to PCB, substrate, interposer *etc.*

$$Linear Density = \frac{Bandwidth B}{Pitch p}$$



Interconnect

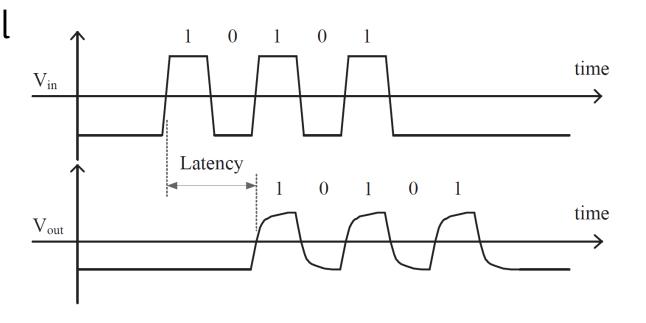
## Electrical and Optical Interconnect Latency

- Propagation delay is proportional to the interconnect length, and inverse proportional to the propagation speed
  - $V_e$  speed in electrical interconnect
  - $\epsilon_r$  relative dielectric constant

$$v_e = \frac{c}{\sqrt{\epsilon_r}}$$

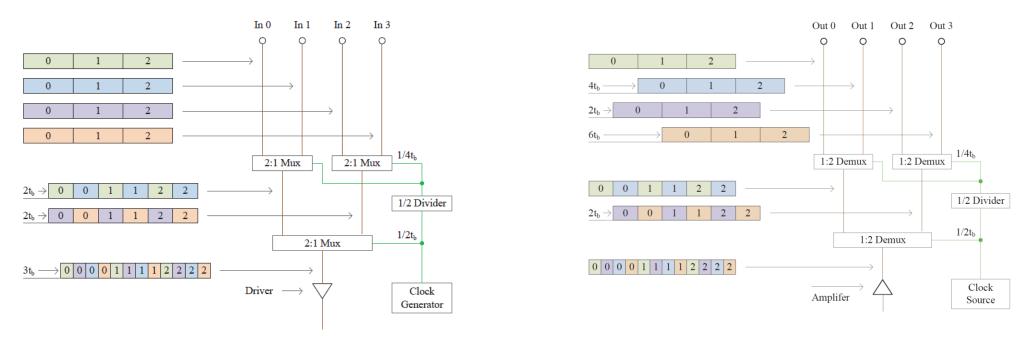
- $V_c$  speed in optical interconnect
- $n_g$  group reflection index

$$v_o = \frac{c}{n_g}$$

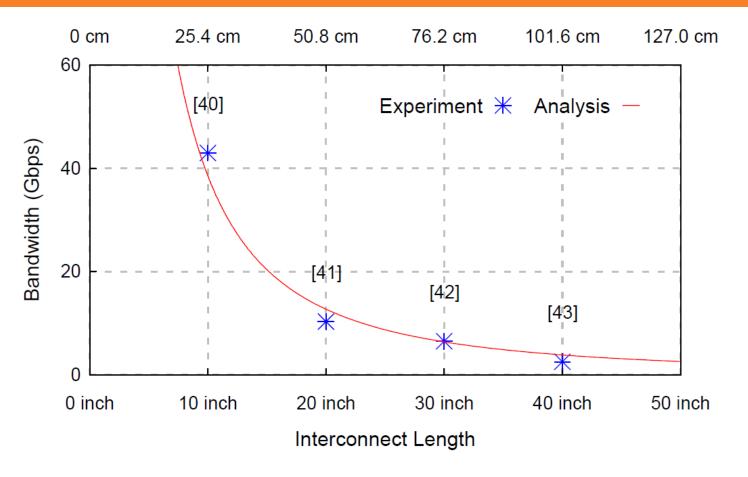


#### Serializer and Deserializer

- SerDes consist of multiple stages of multiplexers or demultiplexers
  - With a large number of latches
- A bottleneck in inter-chip interconnect
  - Large power consumption, large area, additional latency



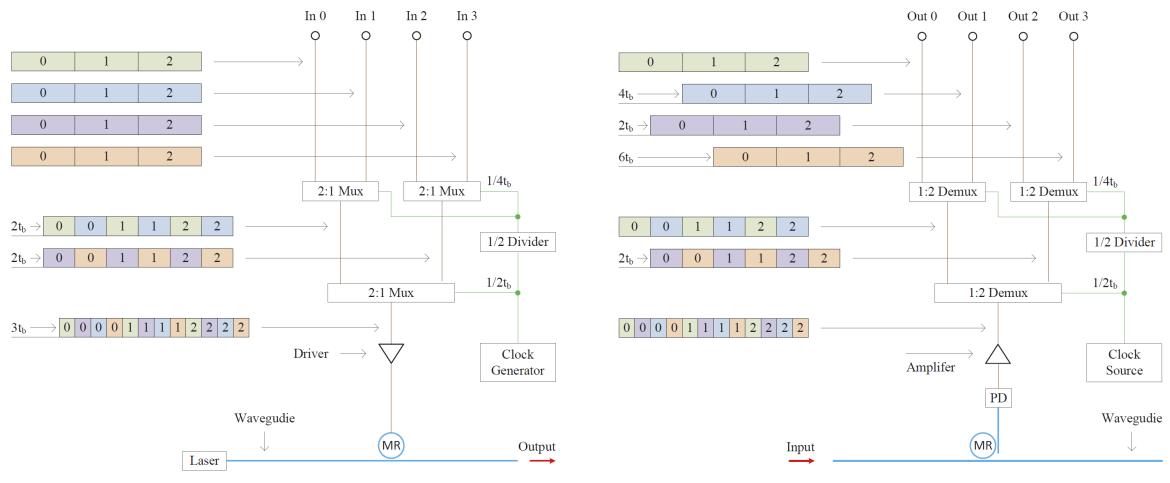
#### **Electrical Interconnect Verification**



Our analytical models match experiment results well

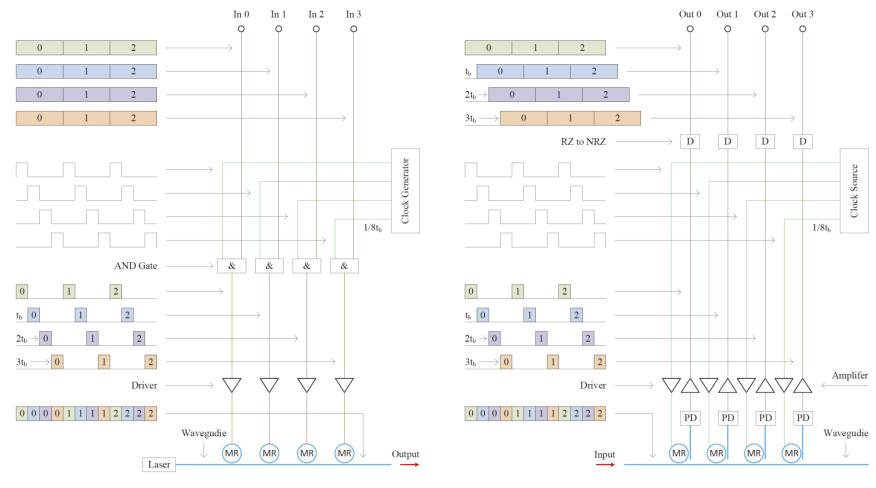
## Traditional OE Interface: Electrical Funneling

Electrical SerDes plus O-E conversion



## New OE Interface: Optical Weaving

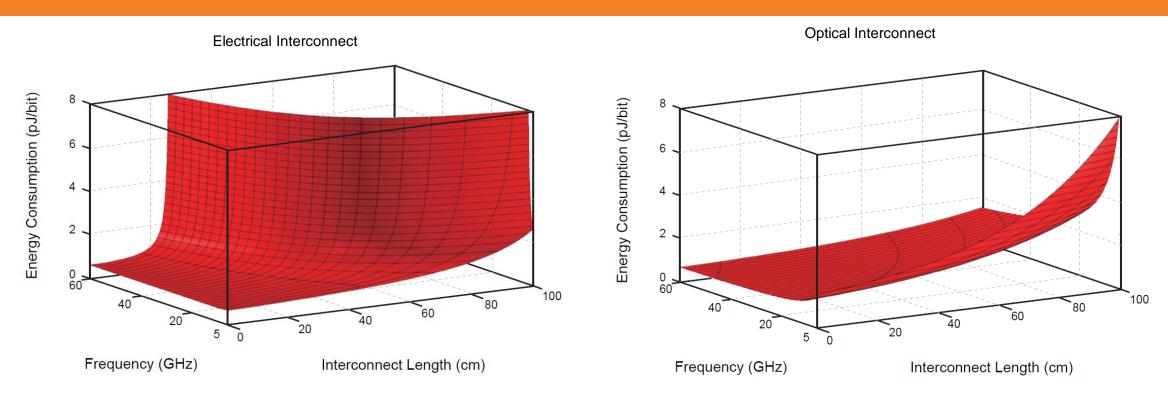
#### Optical-electrical SerDes



#### Outline

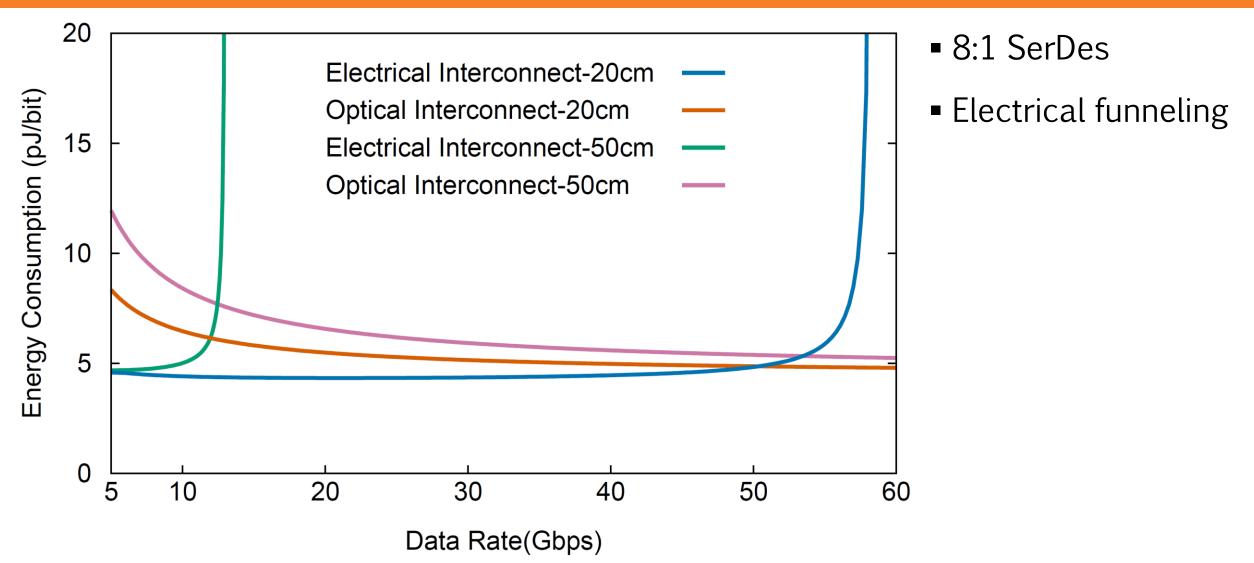
- Introduction
- Modelling of off-chip interconnects and interfaces
  - Electrical Interconnects
  - Optical Interconnects
  - SerDes and O-E interface
- A new O-E interface
- Quantitative analysis and comparisons
- Conclusions

## Optical vs. Electrical Interconnect: Energy Efficiency



- Electrical interconnect has an energy cliff
- Optical interconnect favorite high data rate

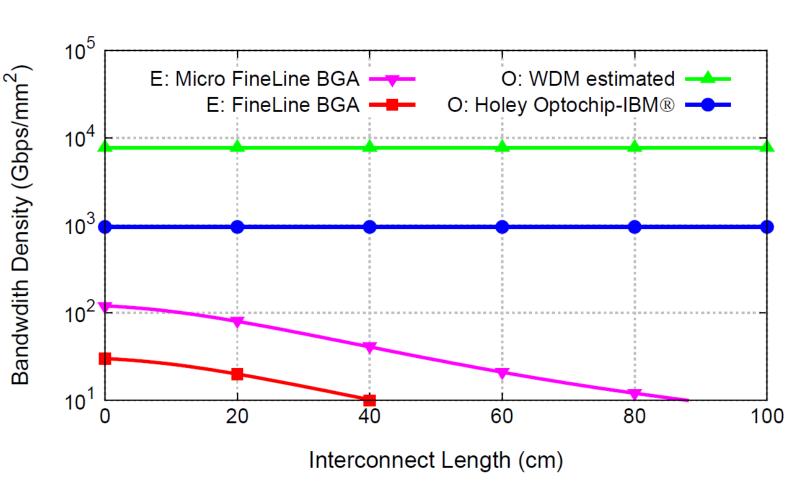
## Optical vs. Electrical Interconnect: Energy Efficiency



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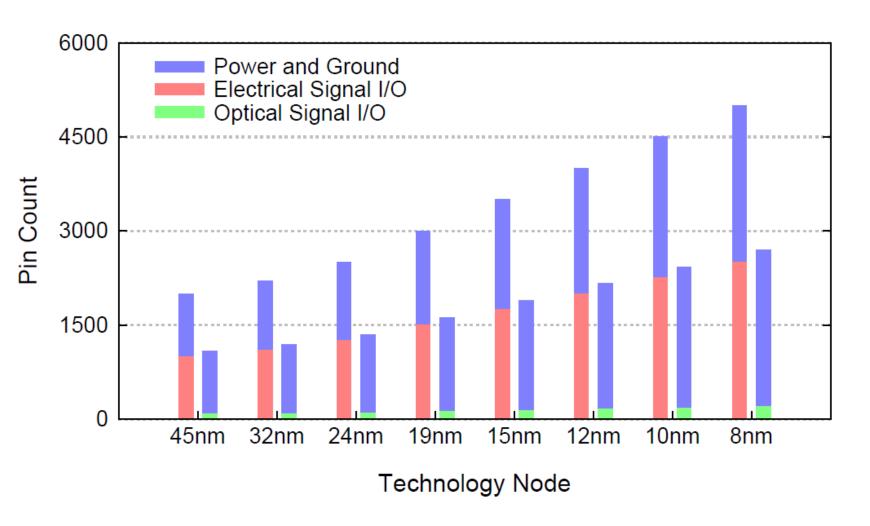
## Optical vs. Electrical Interconnect: Area Bandwidth Density

- Two orders of magnitude higher than micro-FBGA package
- Three orders of magnitude higher than FBGA package

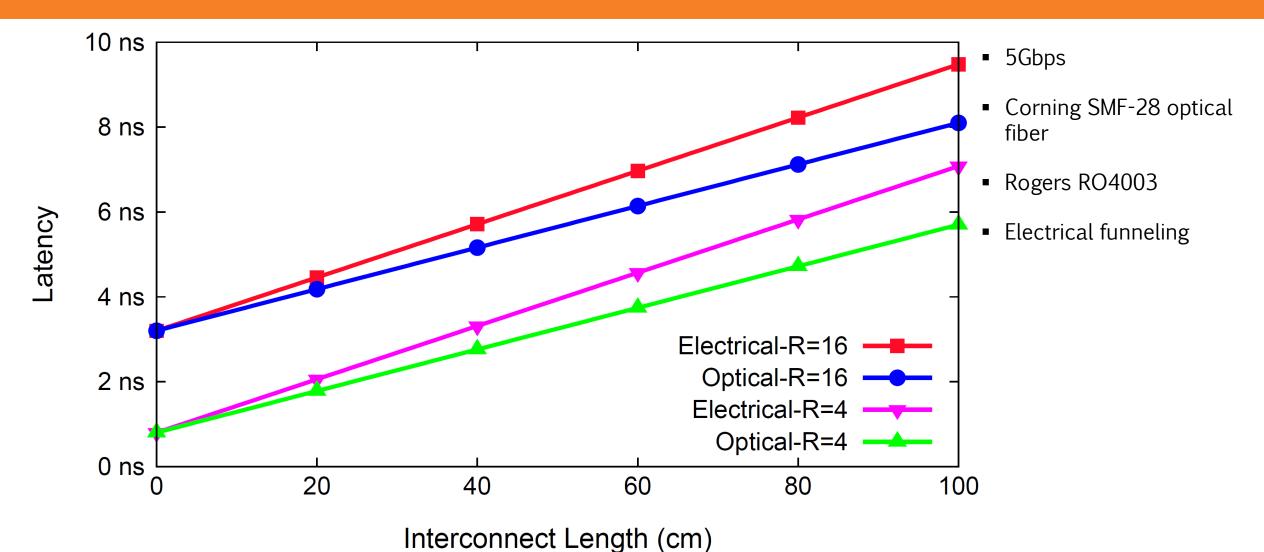


#### Optical vs. Electrical Interconnect: Pin Count

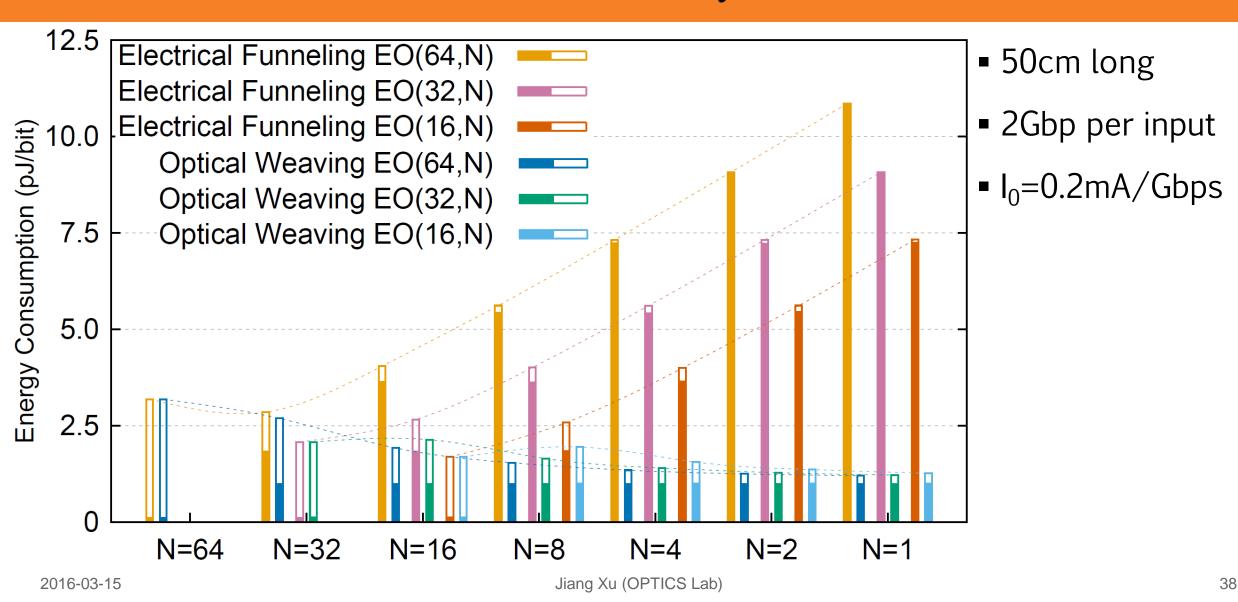
- Reduce >92% signal pins with 25cm interconnects
- Reduce >97% signal pins with 50cm interconnects



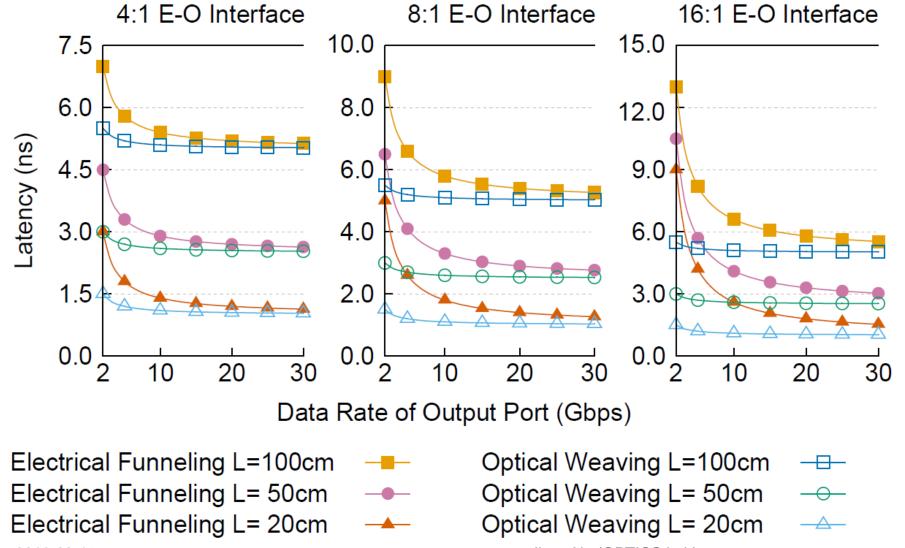
## Optical vs. Electrical Interconnect: Latency



## Optical Weaving vs. Electrical Funneling: Energy Efficiency



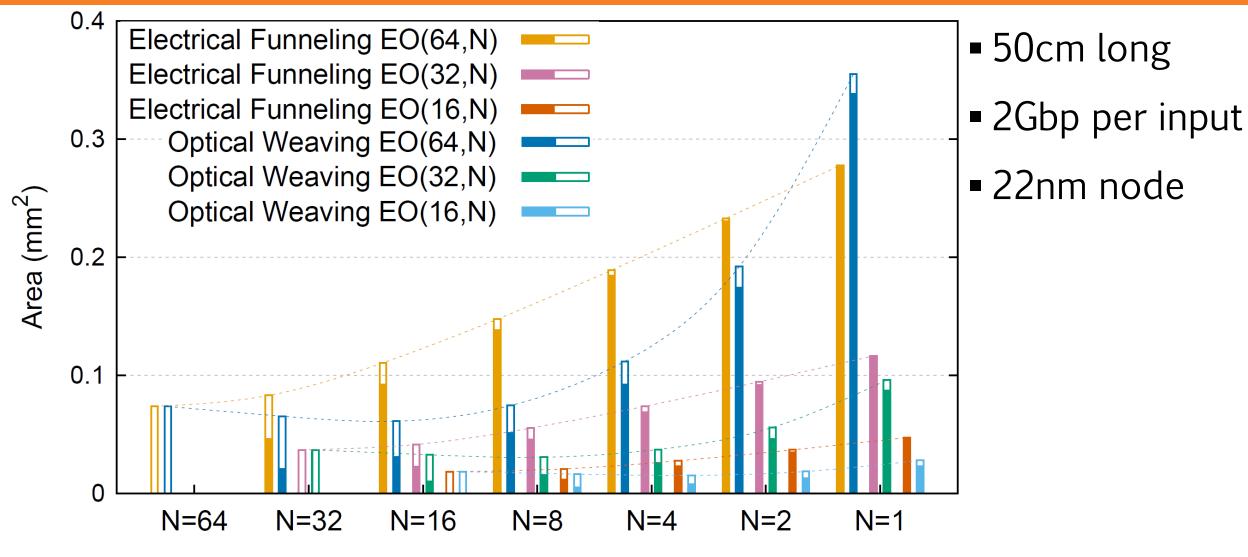
## Optical Weaving vs. Electrical Funneling: Latency



•  $I_0$ =0.2mA/Gbps

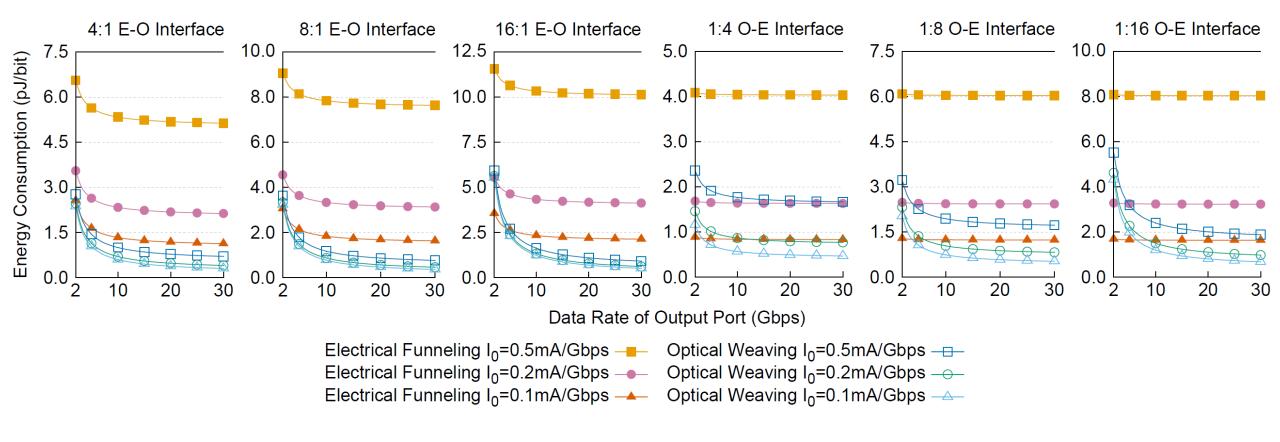
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## Optical Weaving vs. Electrical Funneling: Area



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## Optical Weaving vs. Electrical Funneling: Technology Dependency

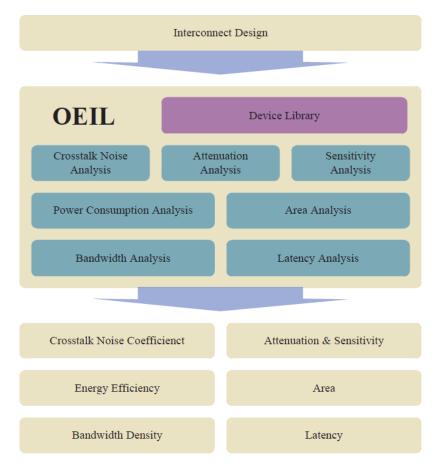


 Differences in circuit design details, technology nodes, and foundry processes are reflected by unit current I<sub>0</sub>

#### OEIL: Optical and Electrical Interfaces and Links

- A comprehensive device library for off-chip interconnects
- Publicly released and available at

www.ece.ust.hk/~eexu/OEIL.html



The flow chart of OEIL simulator

#### Conclusions

- Analytical models for optical and electrical interconnects and interfaces
- Holistic comparisons in terms of bandwidth, bandwidth density, latency, energy efficiency, signal integrity, and area
- Proposed a new O-E interface, optical weaving
- Publicly released a R&D tool, OEIL

#### References

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