

Lithium Niobate Photonics for High Speed and Low Loss Data Links

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Lithium niobate (LN)

Electronics/
Microwave



Optics

LN modulator

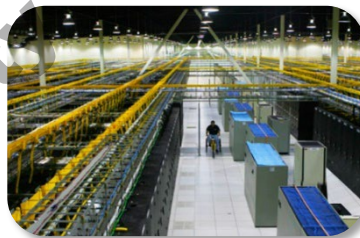
Large diagonal $\chi^{(2)}$
nonlinearity ~ 30 pm/V

Low optical loss
 ~ 0.001 dB/cm

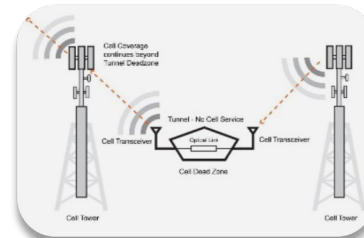
Wide transparency
window (0.4 – 5.5 μm)



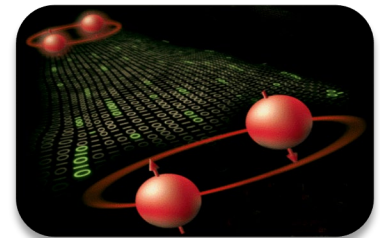
Telecommunications



Data centers/
HPC

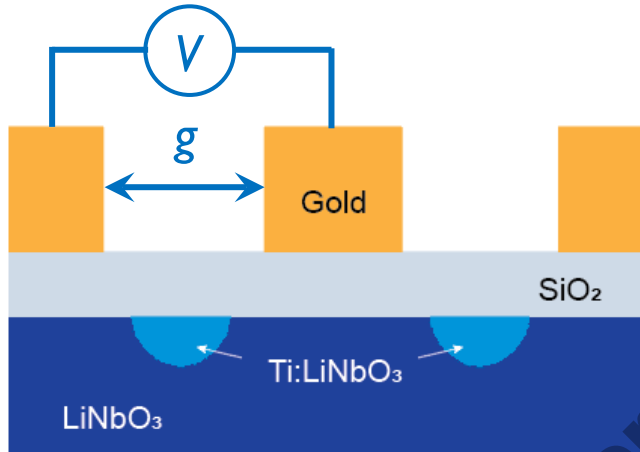


Microwave photonics



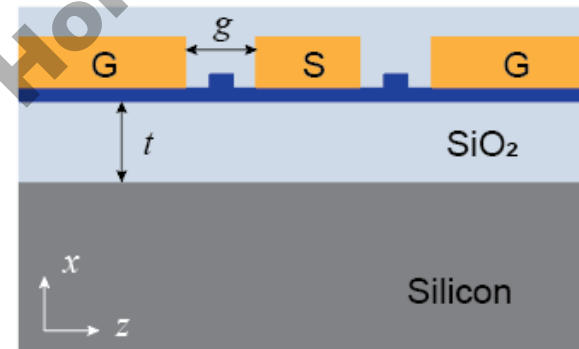
Quantum/nonlinear
photonics

Bulk vs. thin-film LN devices



Bulk (Ti:LiNbO₃) devices:

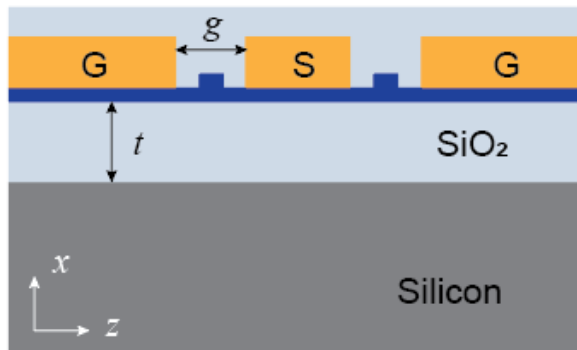
- $\Delta n \sim 0.02$ (mode size $\sim 10 \mu\text{m}$)
- Bending radius $\sim \text{cm scale}$
- EO efficiency limited by large gap g



Thin-film LN devices:

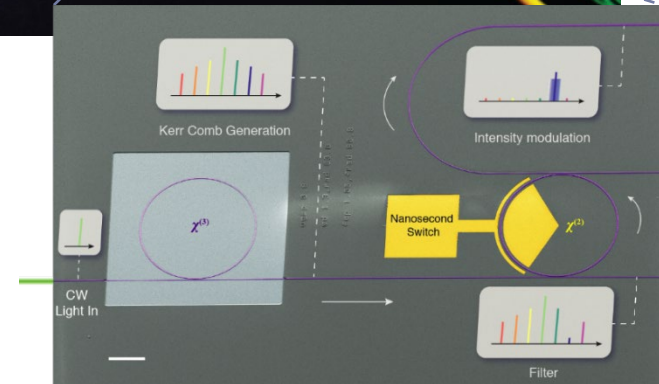
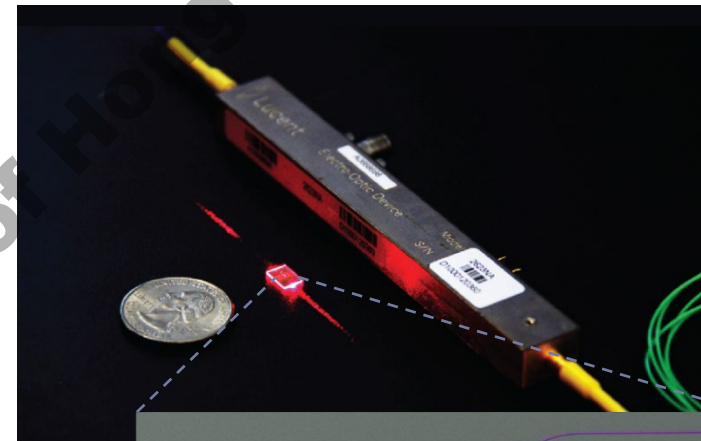
- Single-crystal LN on SiO₂ (LNOI)
- Submicron light confinement
- Bending radius $\sim 50 \mu\text{m}$
- Much better EO/nonlinear efficiency

Smaller but more efficient



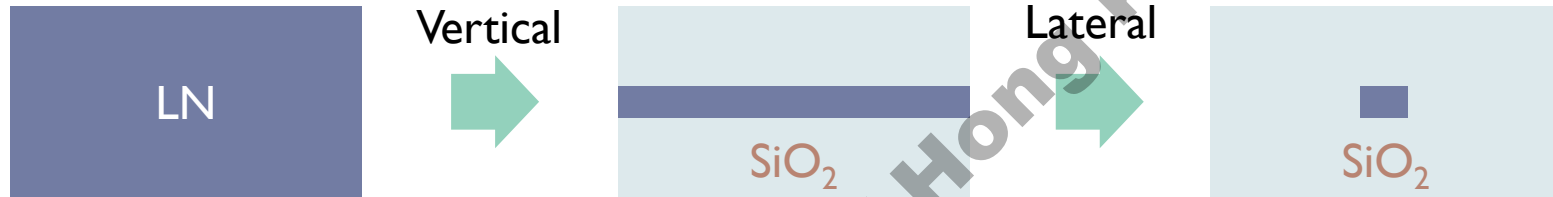
Thin-film LN devices:

- Single-crystal LN on SiO_2 (LNOI)
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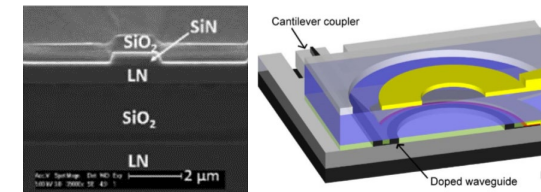
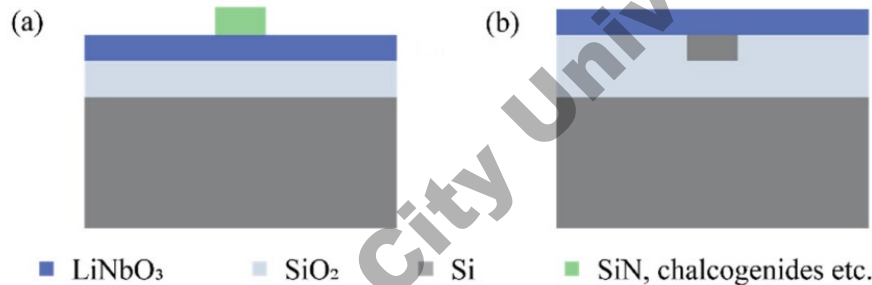
C. Wang & M. Zhang et al.
 Nat. Commun. **10**, 978 (2019)

LNOI (lithium niobate on insulator)



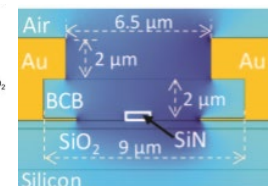
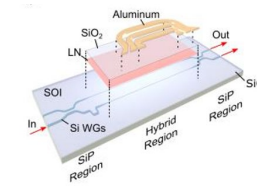
Monolithic approach:

- Non-trivial dry etching
- Take full advantage of the material property, low-loss (once figured)



UCSB

OSU



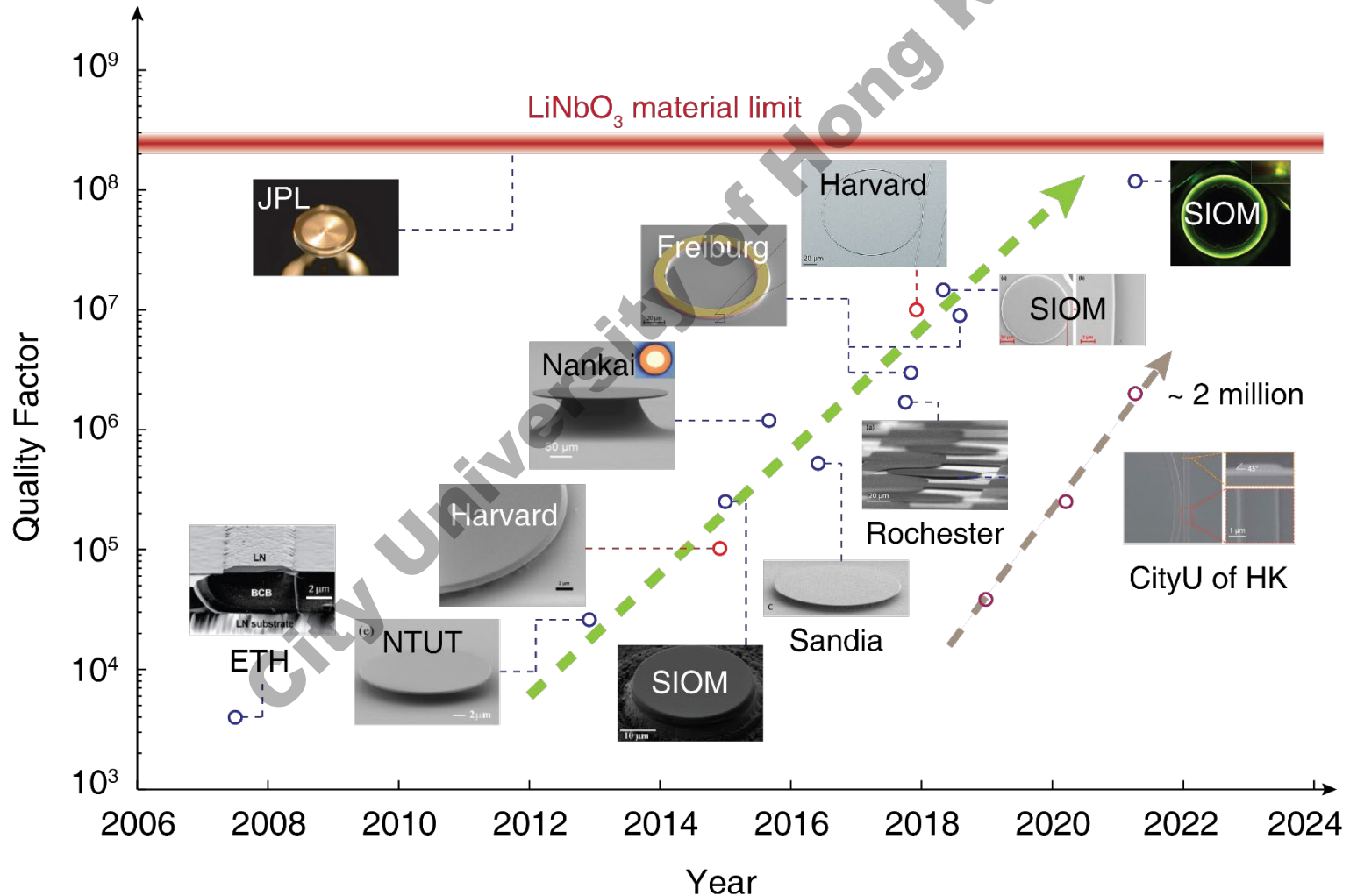
Heterogeneous approach:

- No need for dry etching LN
- Potential compatibility with standard foundry processes

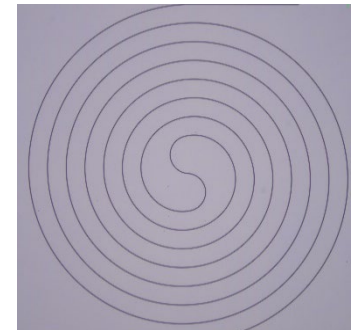
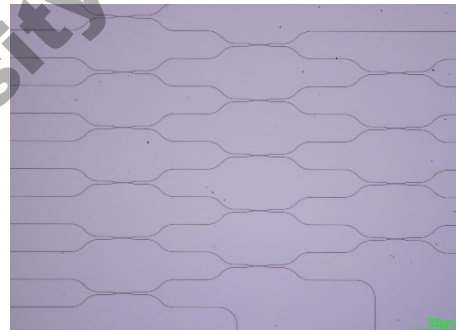
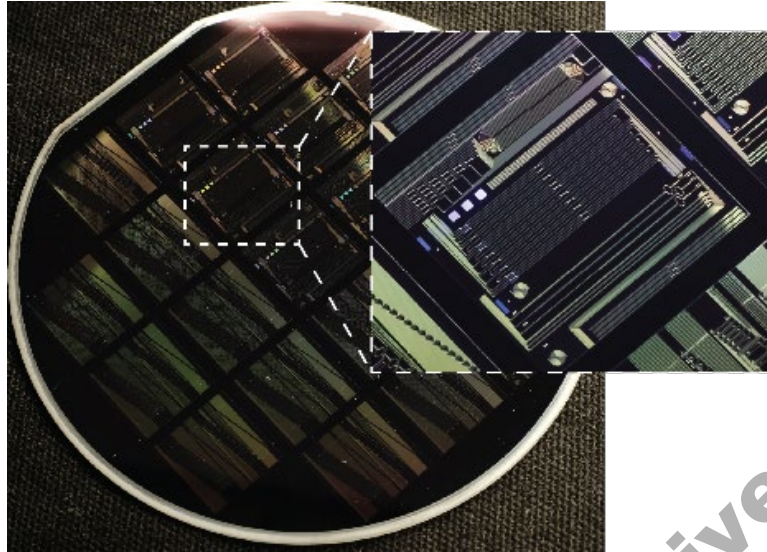
UCSD

CREOL

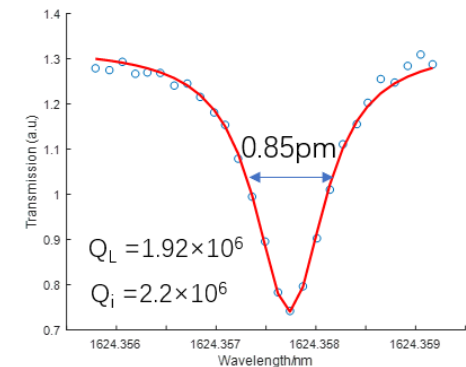
Dry-etched LN resonators



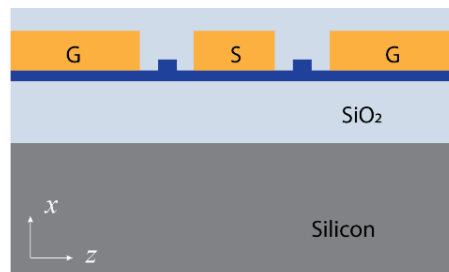
Wafer-scale LN processes



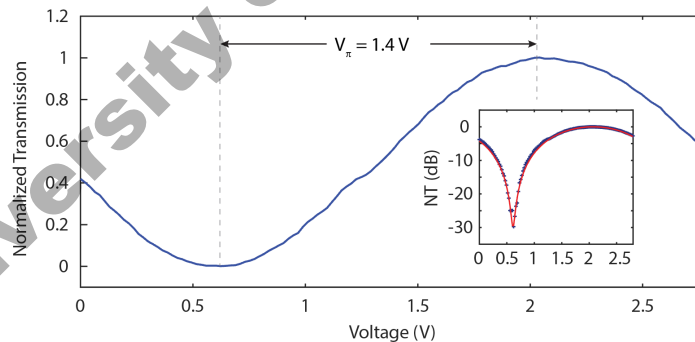
- ▶ 4-inch LNOI wafer process using UV Stepper (at HKUST and CityU)
- ▶ 6 inch readily possible
- ▶ Q factors ~ 2 million (loss ~ 0.2 dB/cm) across the wafer



EO modulators based on LNOI



Integrated LN modulator

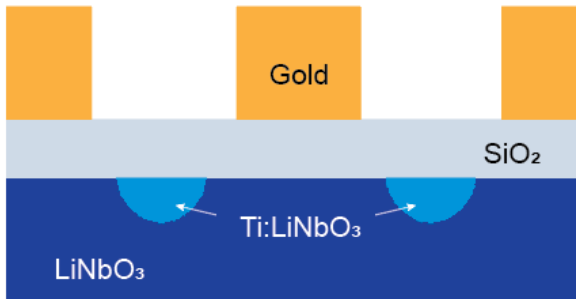


$$V_{\pi} = 1.4 \text{ V}$$

$$\text{ER} = 30 \text{ dB}$$

- ▶ Co-propagating RF transmission line and optical MZI
- ▶ Voltage-length product $\sim 2 \text{ V} \cdot \text{cm}$ (Conventional LN MZM $\sim 20 \text{ V} \cdot \text{cm}$)
- ▶ $2 \text{ cm} \rightarrow 1 \text{ V}$ (CMOS level)
- ▶ E/O bandwidth dependent on velocity matching & RF loss

RF/optic velocity matching

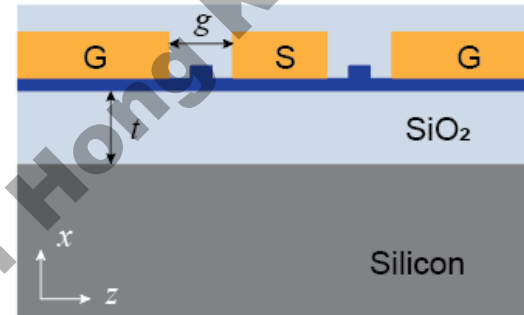


Bulk LN modulators:

$$\epsilon_{\text{RF}} = 28, \epsilon_{\text{opt}} = 5$$

$$v_p(\text{RF}) \ll v_g(\text{opt})$$

Buffer oxide layer for velocity matching

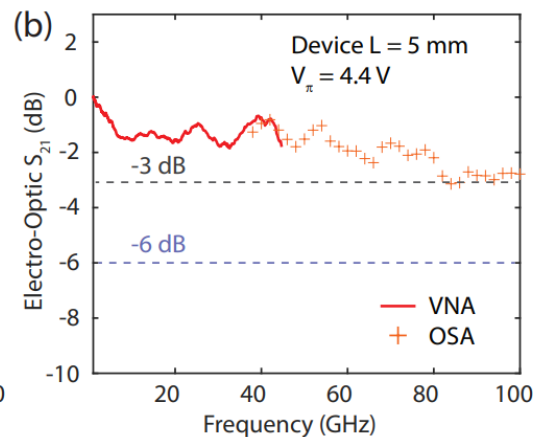
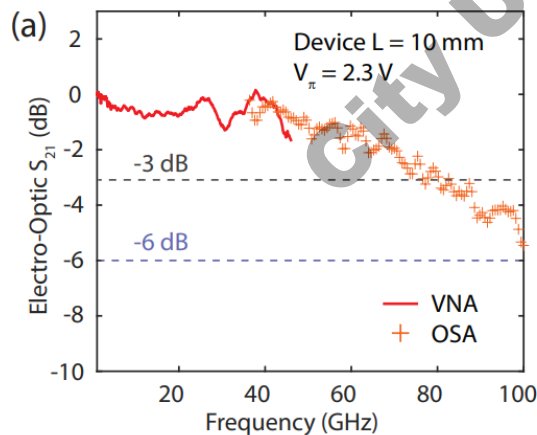


Thin-film LN devices:

$$v_p(\text{RF}) \approx v_g(\text{opt})$$

RF field mostly in buried oxide ($\epsilon_r = 4$)

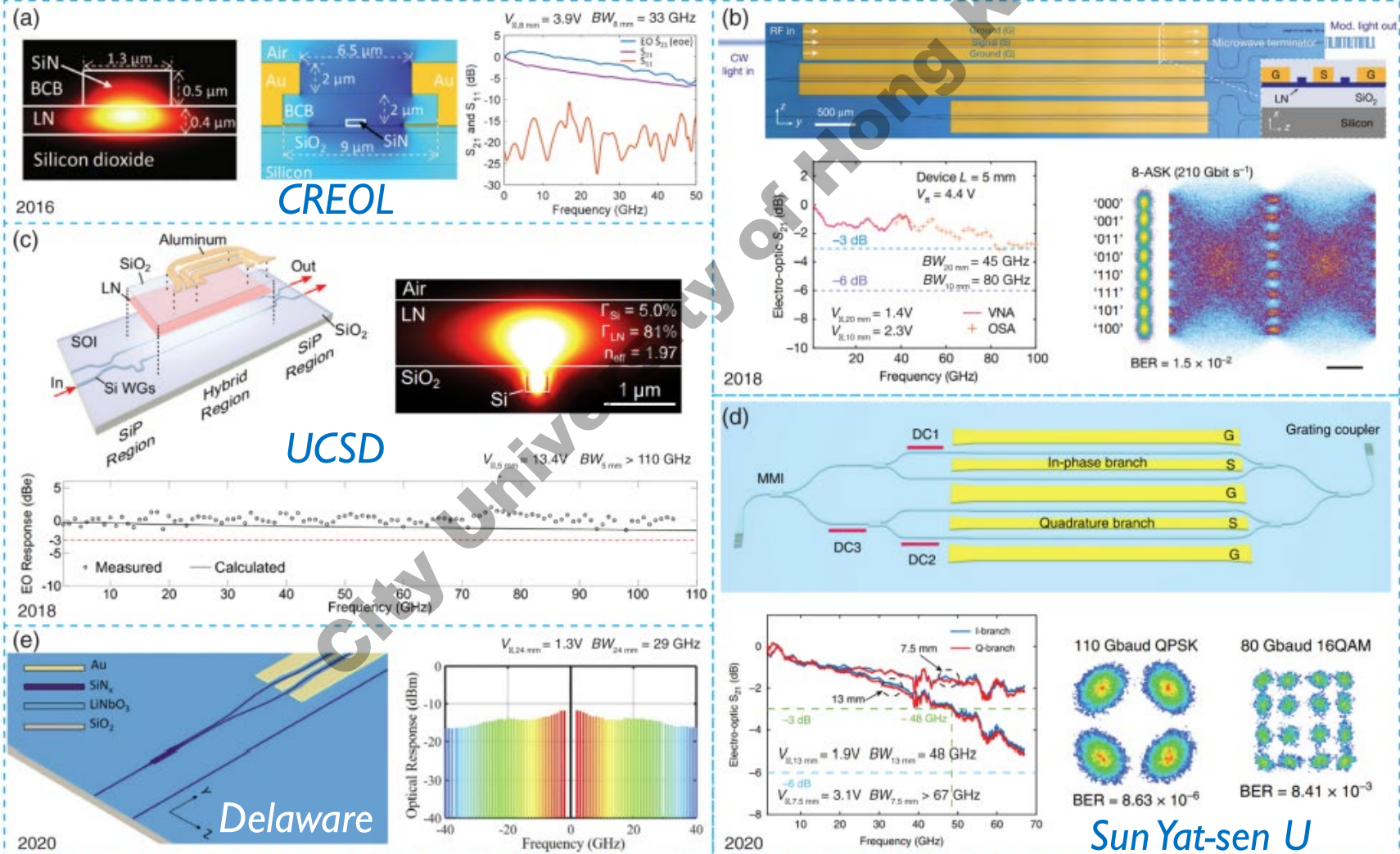
Velocity matching readily achievable!



2.3 V 80 GHz

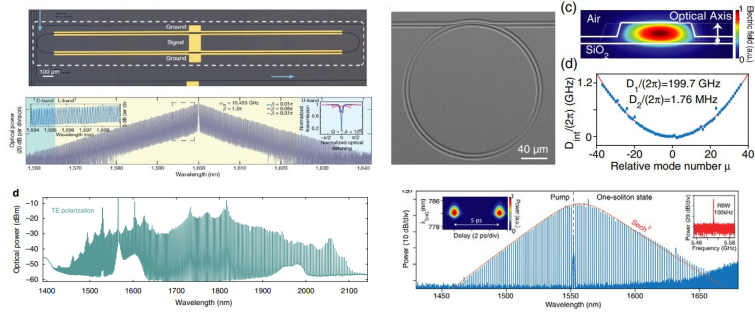
4.4 V 100 GHz

LNOnI-based EO modulators



Functional LNOI photonic devices

Kerr/EO comb generator



Harvard

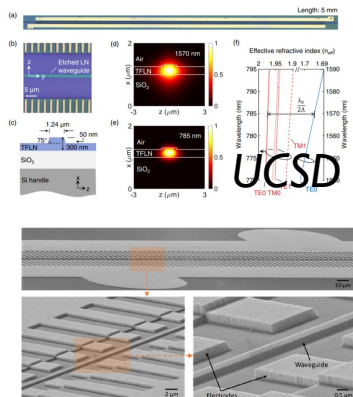
Rochester/Caltech

Yale

Yale

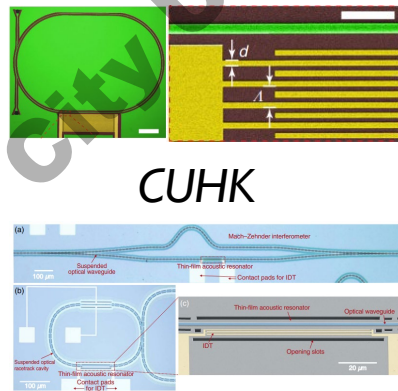
Stevens

Photon pair generator



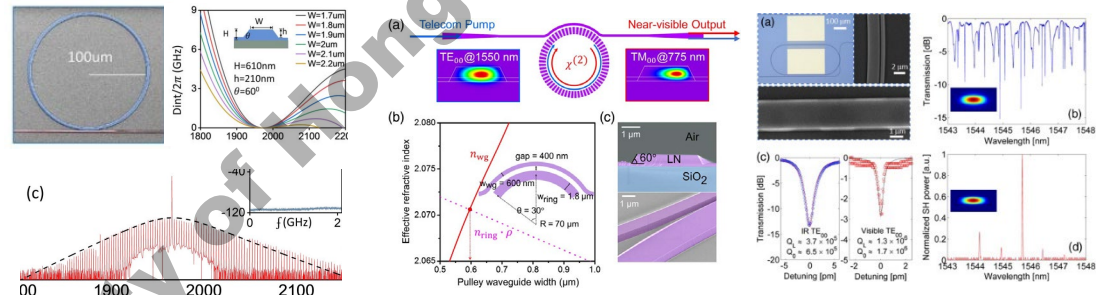
Rochester

Acousto-optic modulator

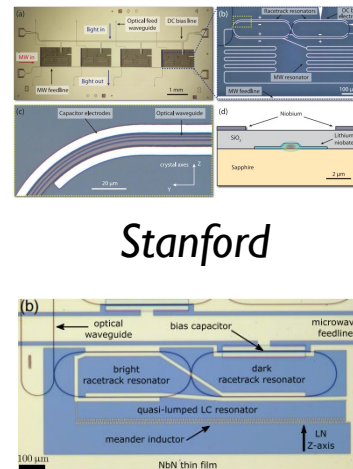


Harvard

Efficient wavelength converter

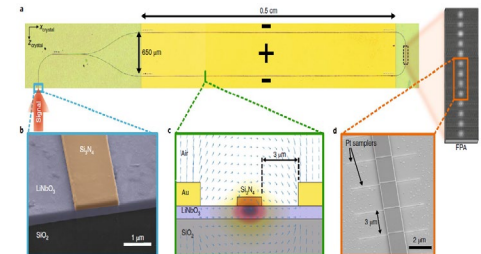


Microwave-to-photon converter



Stanford

Spectrometer



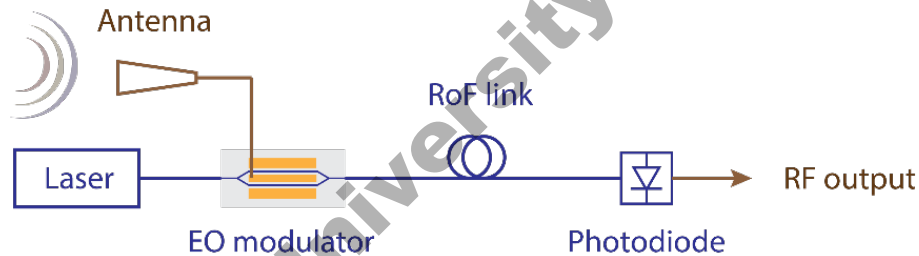
ETH

Towards LNOI photonic integrated circuits

► Microwave photonics:

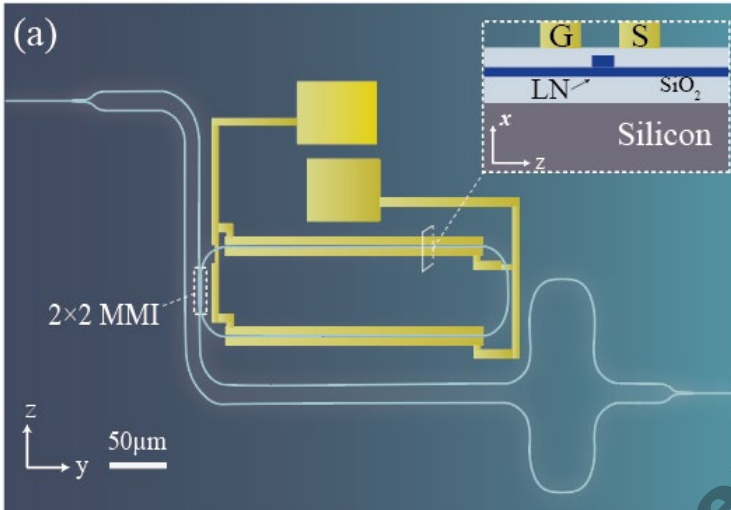
- “the use of **optical** devices and techniques to generate, manipulate, transport and measure **high-speed RF signals**”
- e.g. radio over fiber (RoF) link

D. Marpaung et al. Nat. Photonics **13**, 80–90 (2019)

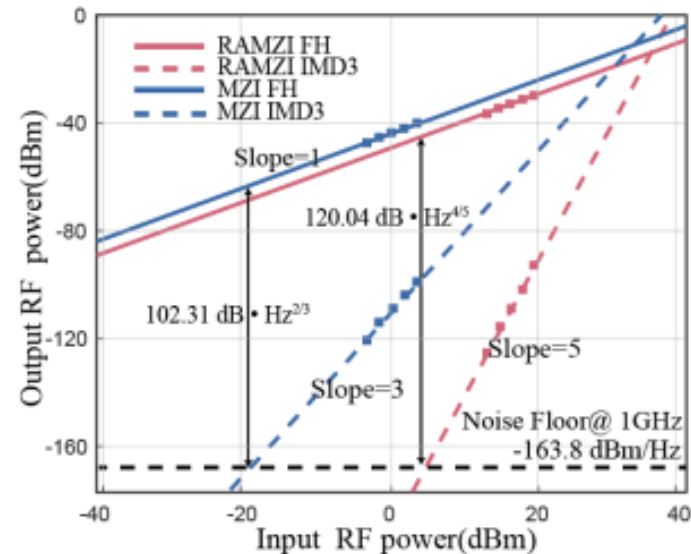
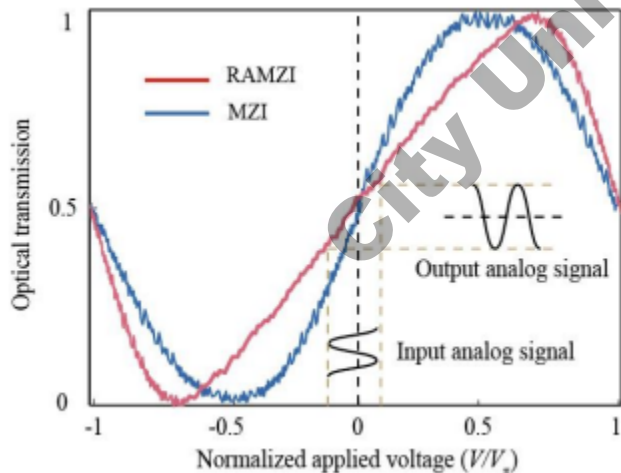


Key: a modulator with highly linear EO response to faithfully convert microwave signals into optics

Linearized EO modulators

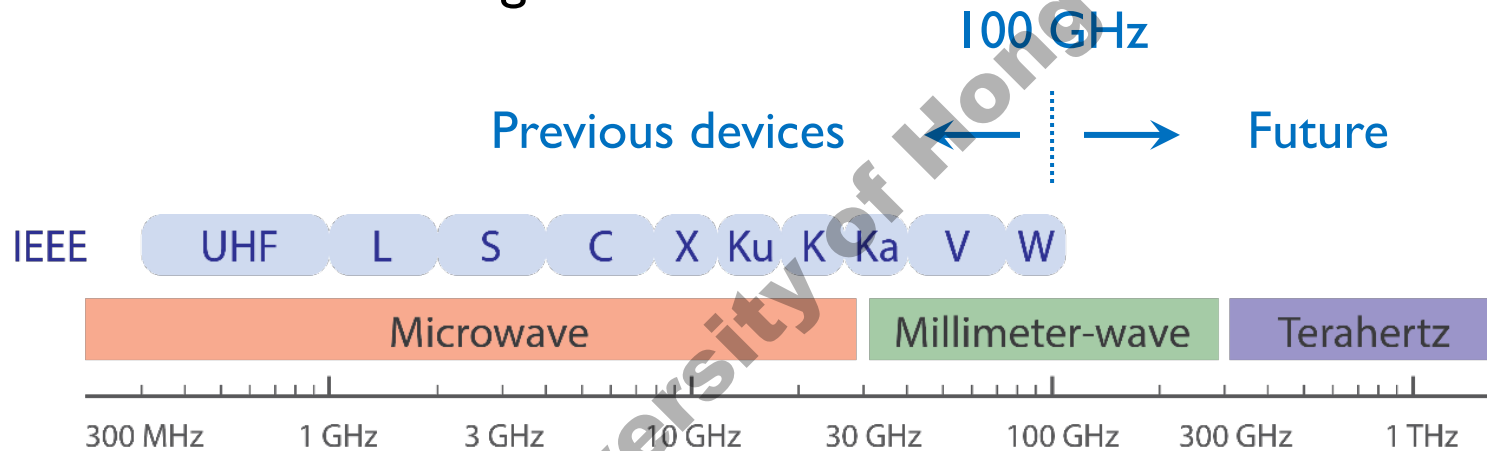


- ▶ Ring-assisted MZI modulator with high linearity for RF-photonic applications
- ▶ Measured spurious-free dynamic range (SFDR) $\sim 120 \text{ dB} \cdot \text{Hz}^{4/5}$
- ▶ 18-dB improvement over reference MZI

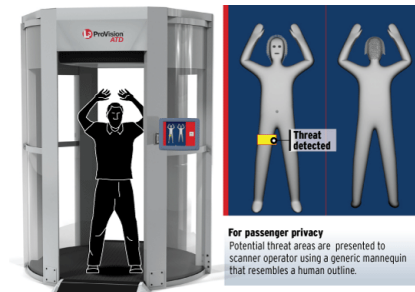
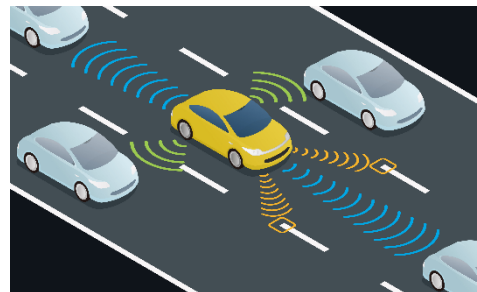


Millimeter-wave photonics

- ▶ Can LN modulators go even faster?



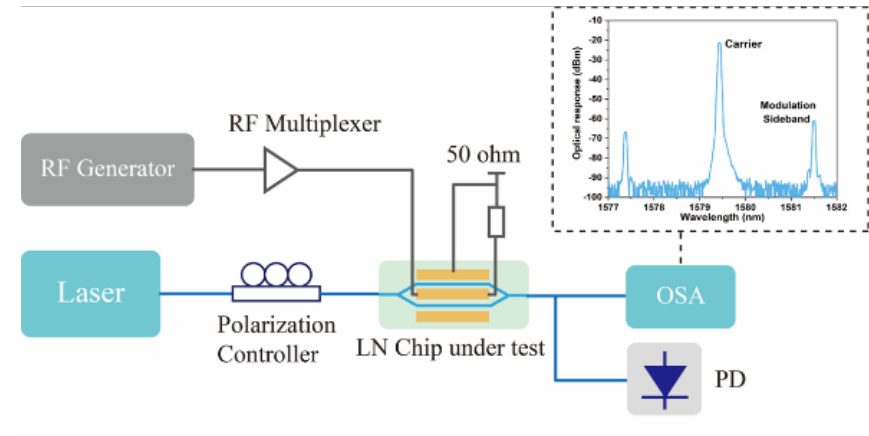
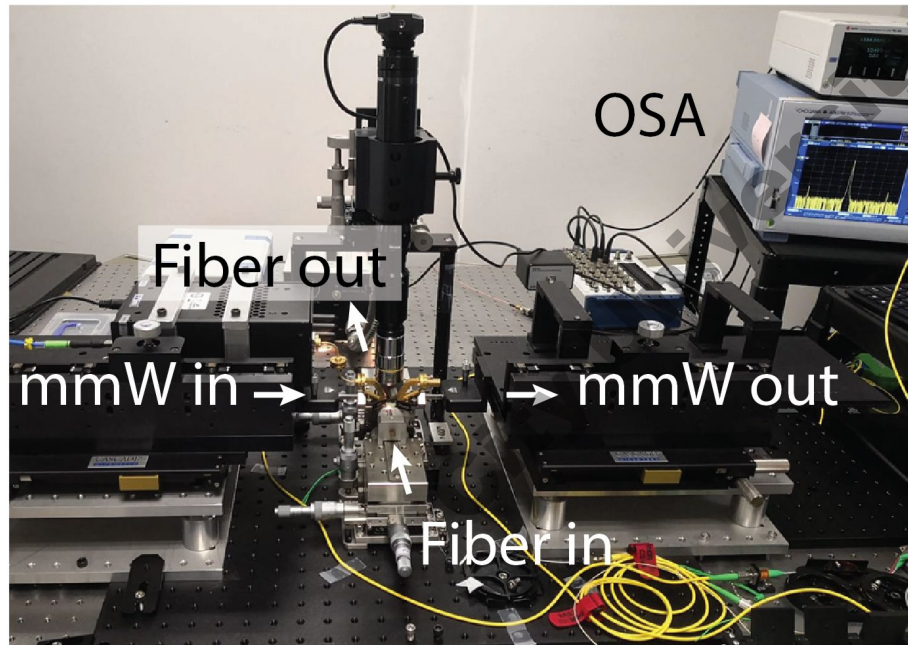
Millimeter-wave (mmW) photonics:



Sources: U.S. Transportation Security Agency, LiveScience.com, L-3 Security & Detection Systems, CNN

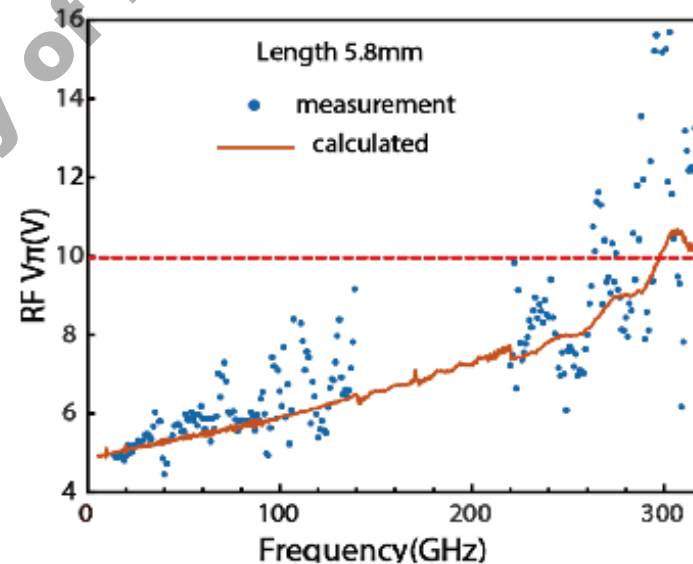
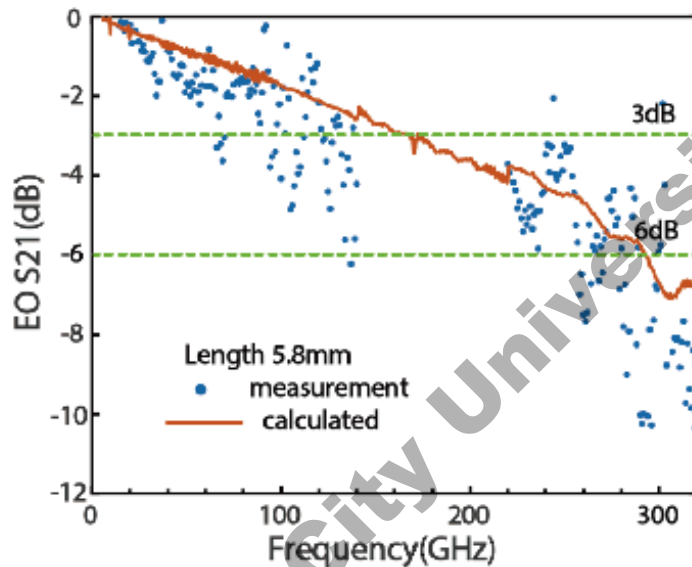
EO measurement at mmW frequencies

- ▶ In-house measurement setup at State Key Lab of THz and Millimeter waves
- ▶ Electro-optic responses measured at frequencies up to 330 GHz
- ▶ Sideband-to-carrier ratio vs. frequency measured using OSA



EO measurement at mmW frequencies

- ▶ 3-dB bandwidth of 175 GHz and 6-dB bandwidth of 295 GHz (5.8 mm)
- ▶ RF $V_{\pi} = 6.3$ V at 250 GHz (10.8 mm)

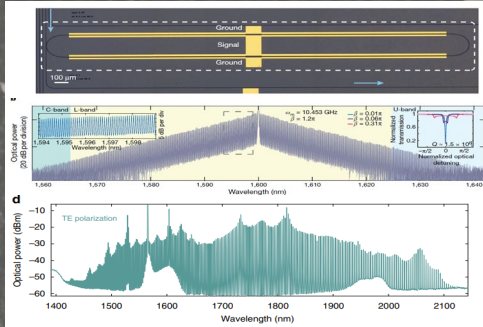


- Direct EO measurement
- Calculated based on real EE measurement

Y. Zhang et al. manuscript in preparation

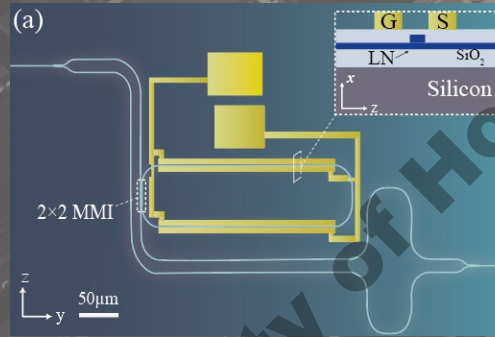
*Device fabrication courtesy to Dr. Linbo Shao

Future of LNOI photonics



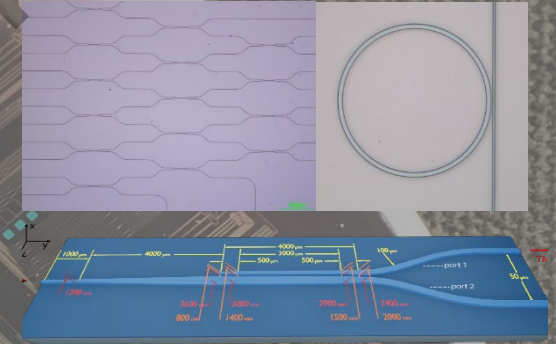
Frequency comb sources

Nature **568**, 373–377 (2018)
Nat. Commun. **10**, 978 (2019)



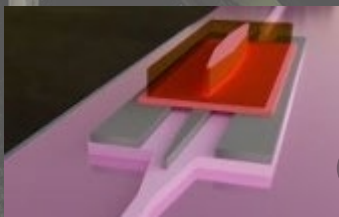
High-performance modulators

Nature **562**, 101-104 (2018)
arXiv:2202.12739



Low-loss passive components

Optica **4**, 1536-1537 (2017)
Photonics Res. **9**, 2319-2324 (2021)



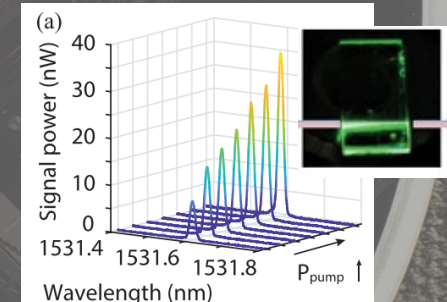
Heterogeneous integrated lasers/detectors

- Ghent/IMEC/Stanford
- SYSU
- Harvard/HyperLight/
Freeform Photonics)



Quantum light sources/ nonlinear photonics

Optica **5**, 1438-1441 (2018).



Er:LNOI amplifiers

Opt. Lett. **46**, 1161-1164, 2021

Acknowledgements

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Department of Electrical Engineering
Nanophotonics
Group

Thank you!

Positions available: <http://www.ee.cityu.edu.hk/~cwang>

