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**OPTICS**

# Self-adaptive photonic integrated processors for communication and computing

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*<https://photonics.deib.polimi.it>*

F. Morichetti, S. SeyedinNavadeh, A. Martinez, G. Cavicchioli, O. Gordillo, F. Zanetto, V. Grimaldi, G. Ferrari, M. Sampietro

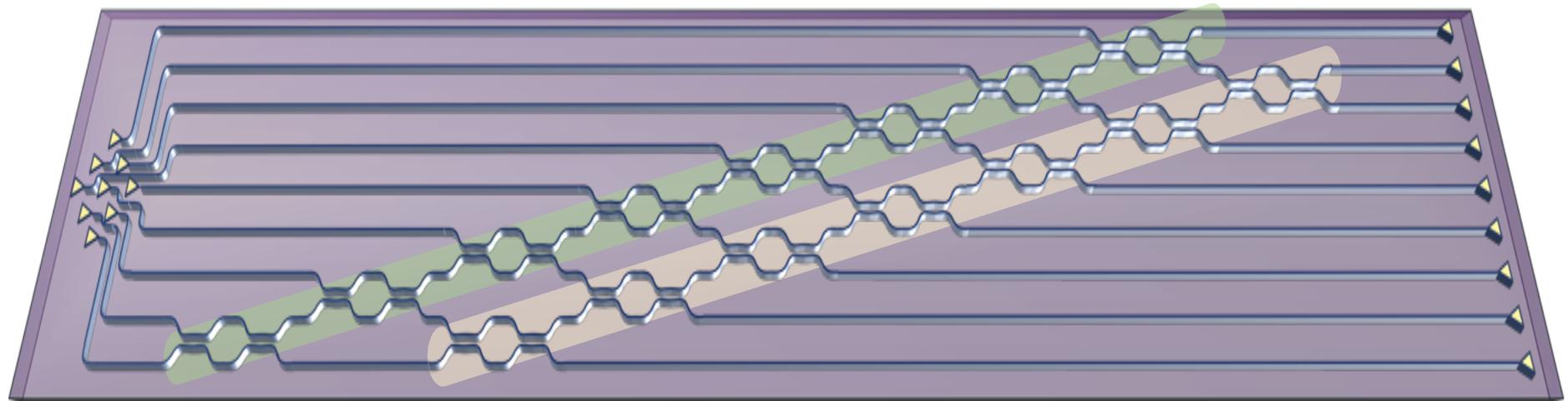
## The Programmable Photonic Processor

Free Space Optic communication link (with turbulence mitigation)

Towards optical MIMO

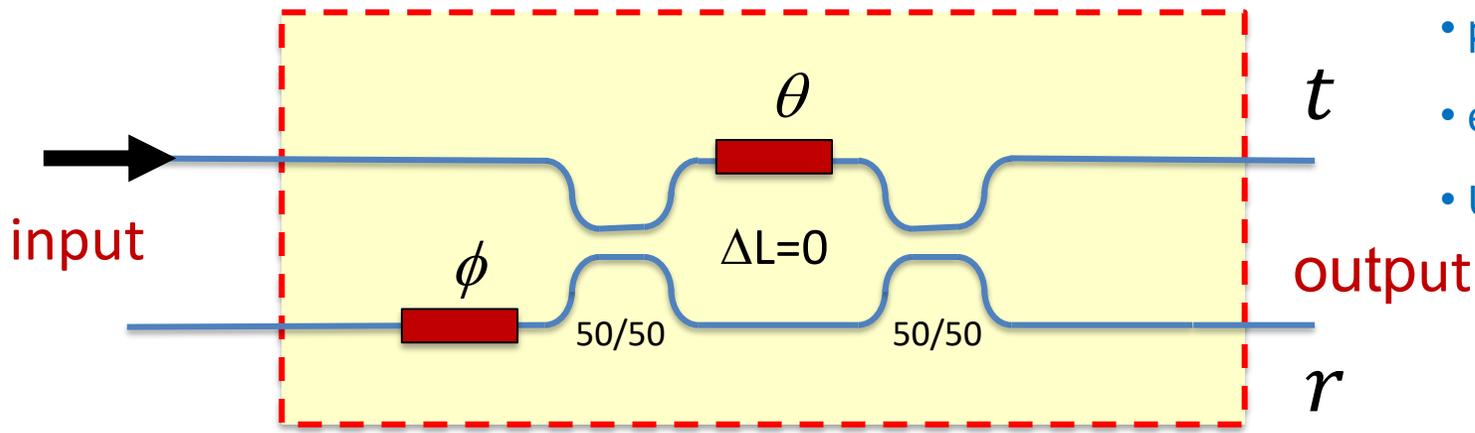
Finding optimal communication channels (& computing)

### Mach-Zehnders Mesh



## Mach-Zehnder Interferometer (MZI)

 Phase shifter



## 2x2 Unitary Transformation

- power conservation
- preserves “length” & “angle” (only a “rotation”)
- eigenvalues on unit circle, **orthogonal eigenvectors**
- $U^\dagger U = I$ ,  $U^{-1} = U^\dagger$ ,  $\det(U) = 1$

$$\theta = 2 \cos^{-1} r$$

$$t = \sqrt{1 - r^2}$$

$$H = -j e^{-j\theta/2} \begin{bmatrix} \sin(\theta/2) & \cos(\theta/2) e^{-j\phi} \\ \cos(\theta/2) & -\sin(\theta/2) e^{-j\phi} \end{bmatrix} = -j e^{-j\theta/2} \begin{bmatrix} t & r e^{-j\phi} \\ r & -t e^{-j\phi} \end{bmatrix}$$

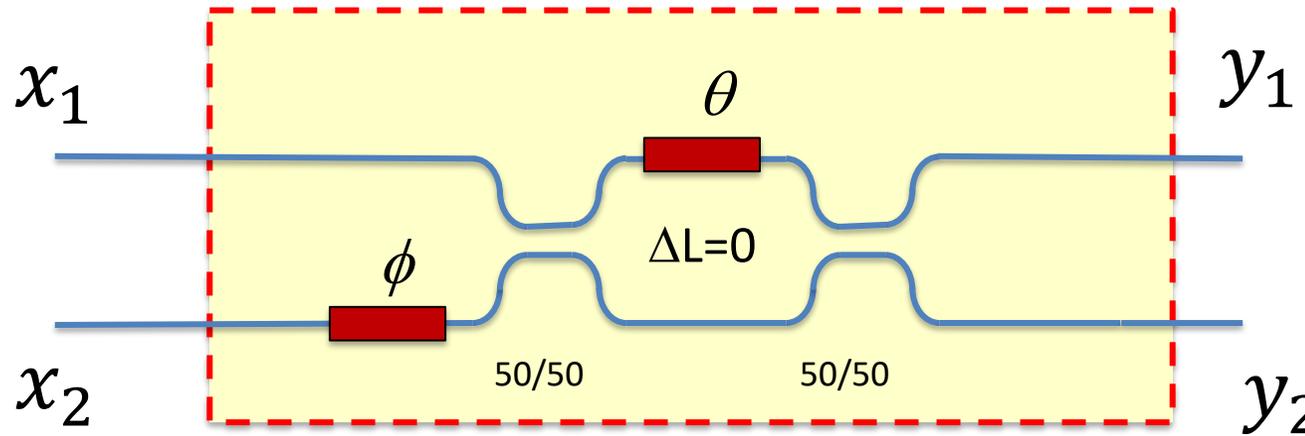
## Mach-Zehnder Interferometer (MZI)

 Phase shifter

Coherent adder

input

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$



output  $\mathbf{y} = \mathbf{H} \mathbf{x}$

$$|y_1|^2 = |x_1|^2 + |x_2|^2$$

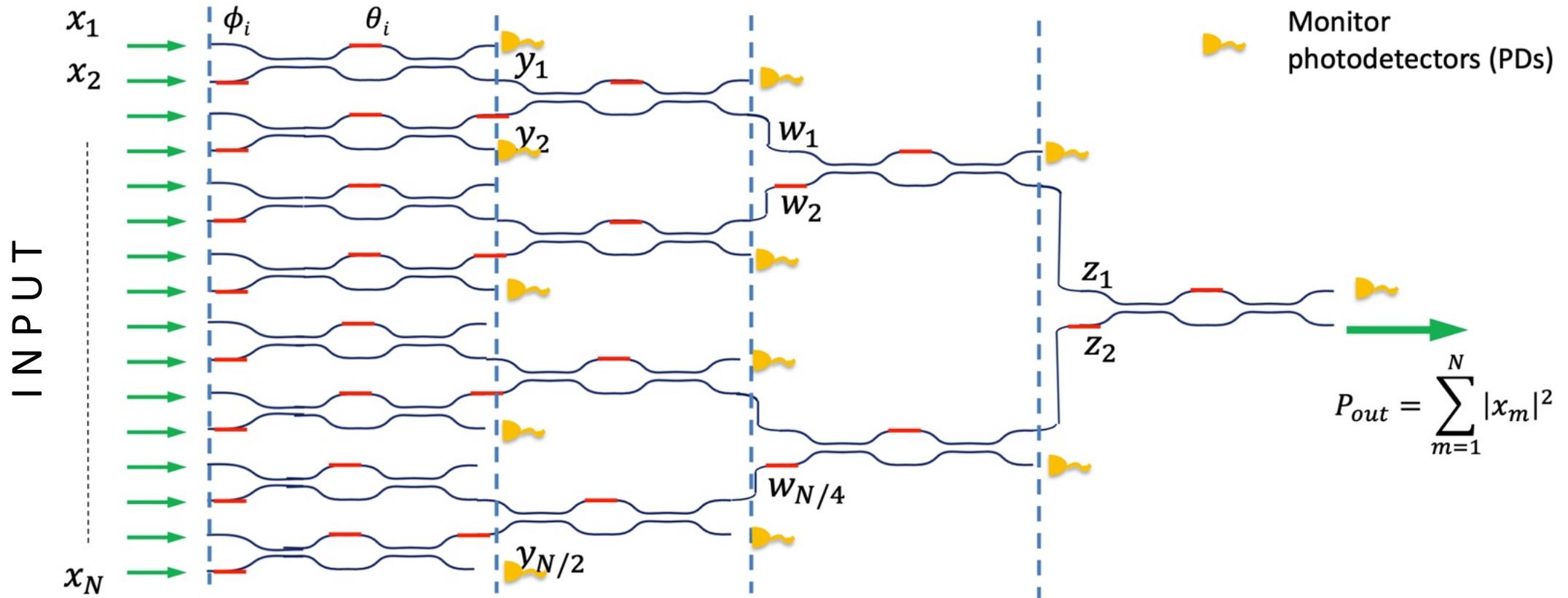
$$|y_2|^2 = 0$$

Condition to null the output power at port 2

$$y_2 = -je^{-j\theta/2} \left[ \cos\left(\frac{\theta}{2}\right) x_1 - e^{-j\phi} \sin\left(\frac{\theta}{2}\right) x_2 \right] = 0$$

$$\Rightarrow \cos\left(\frac{\theta}{2}\right) x_1 = e^{-j\phi} \sin\left(\frac{\theta}{2}\right) x_2$$

$$\Rightarrow \begin{cases} \theta = 2 \tan^{-1} \left| \frac{x_1}{x_2} \right|, & \theta \in [0, \pi] \\ \phi = (\angle x_2 - \angle x_1) + 2N\pi \end{cases}$$

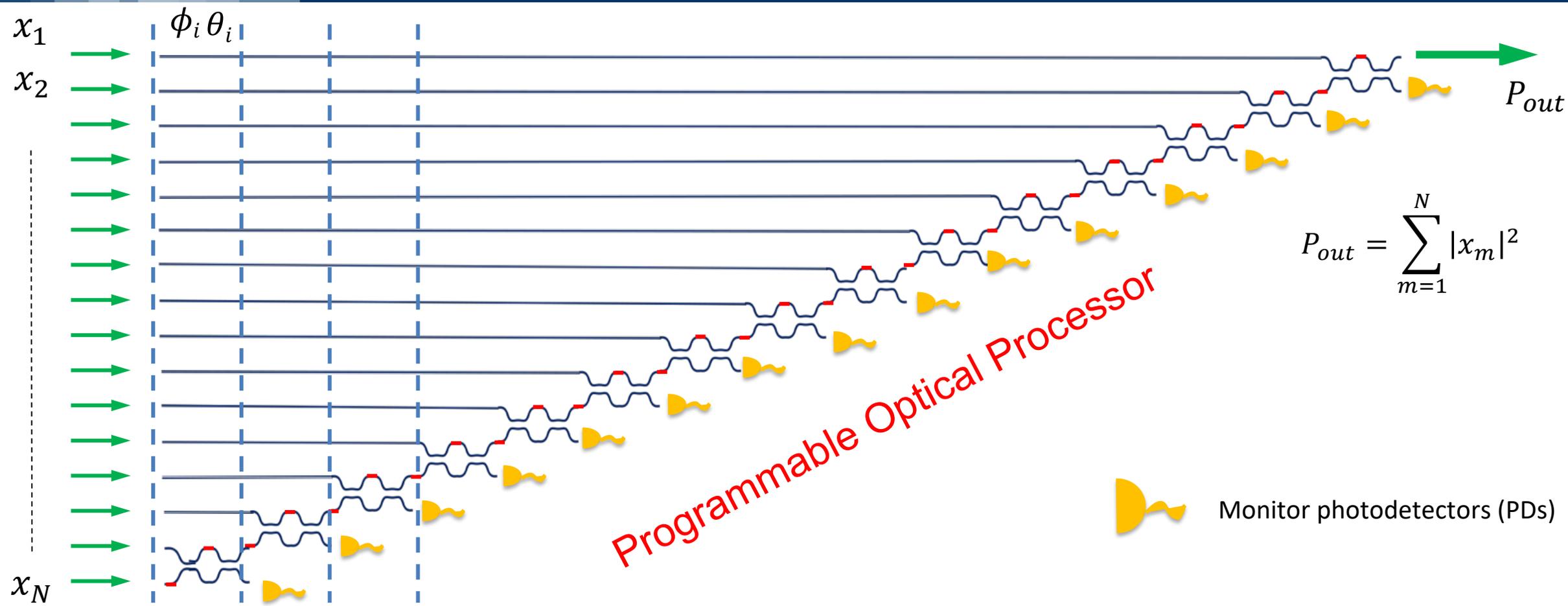


$$\phi_i = \angle x_{i+1} - \angle x_i$$

$$\theta_i = 2 \tan^{-1} \left| \frac{x_i}{x_{i+1}} \right|$$

- Combining 2x1 coherent adders, an arbitrary number of inputs signals can be coherently summed
- Calibration strategy: zeroing the power at monitor ports 

W. Bogaerts, ... F. Morichetti, A. Melloni  
 Programmable photonic circuits, Nature 586, 207–216 (2020)

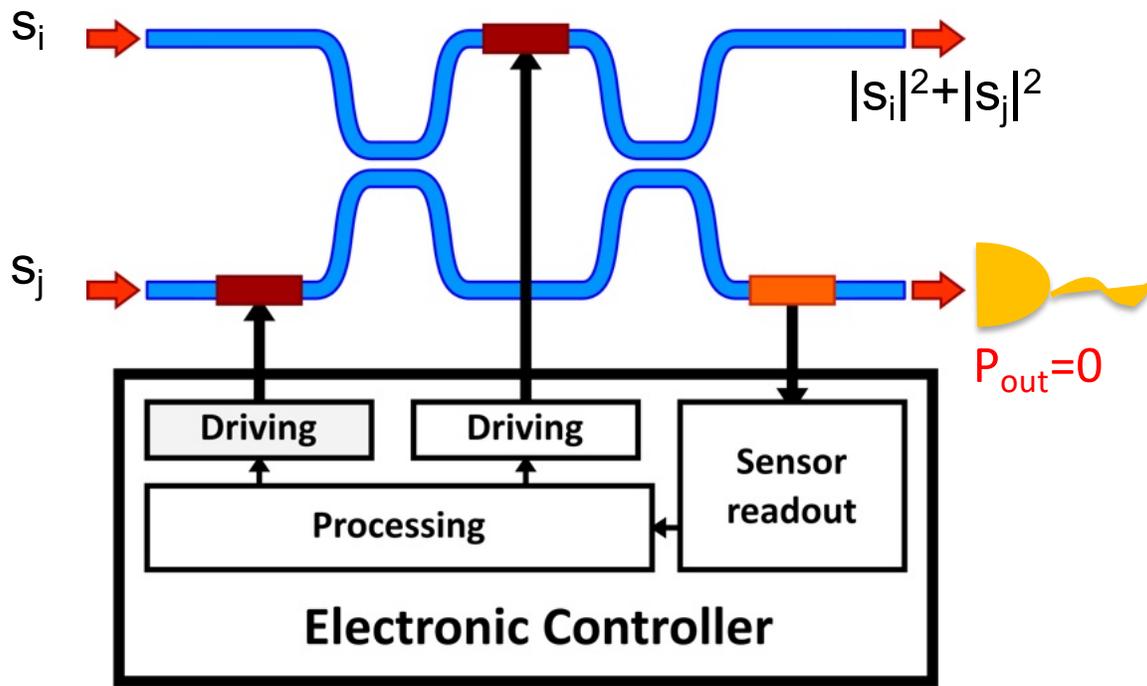


$$\phi_i = \angle x_{i+1} - \angle x_i$$

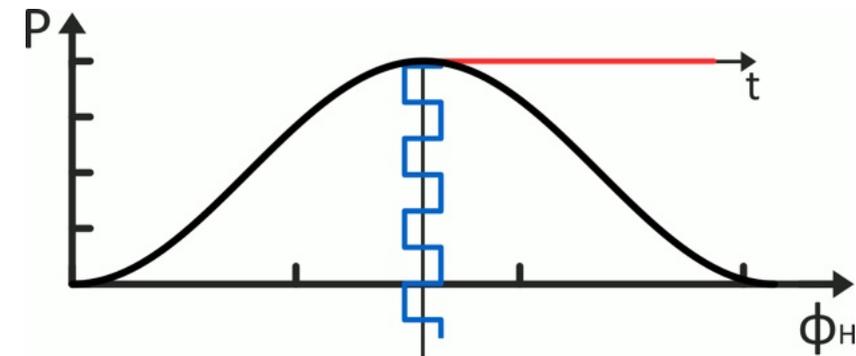
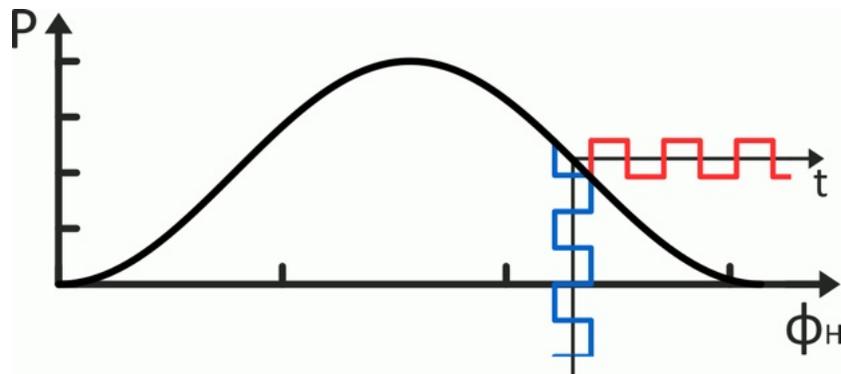
$$\theta_i = 2 \tan^{-1} \left| \frac{x_i}{x_{i+1}} \right|$$

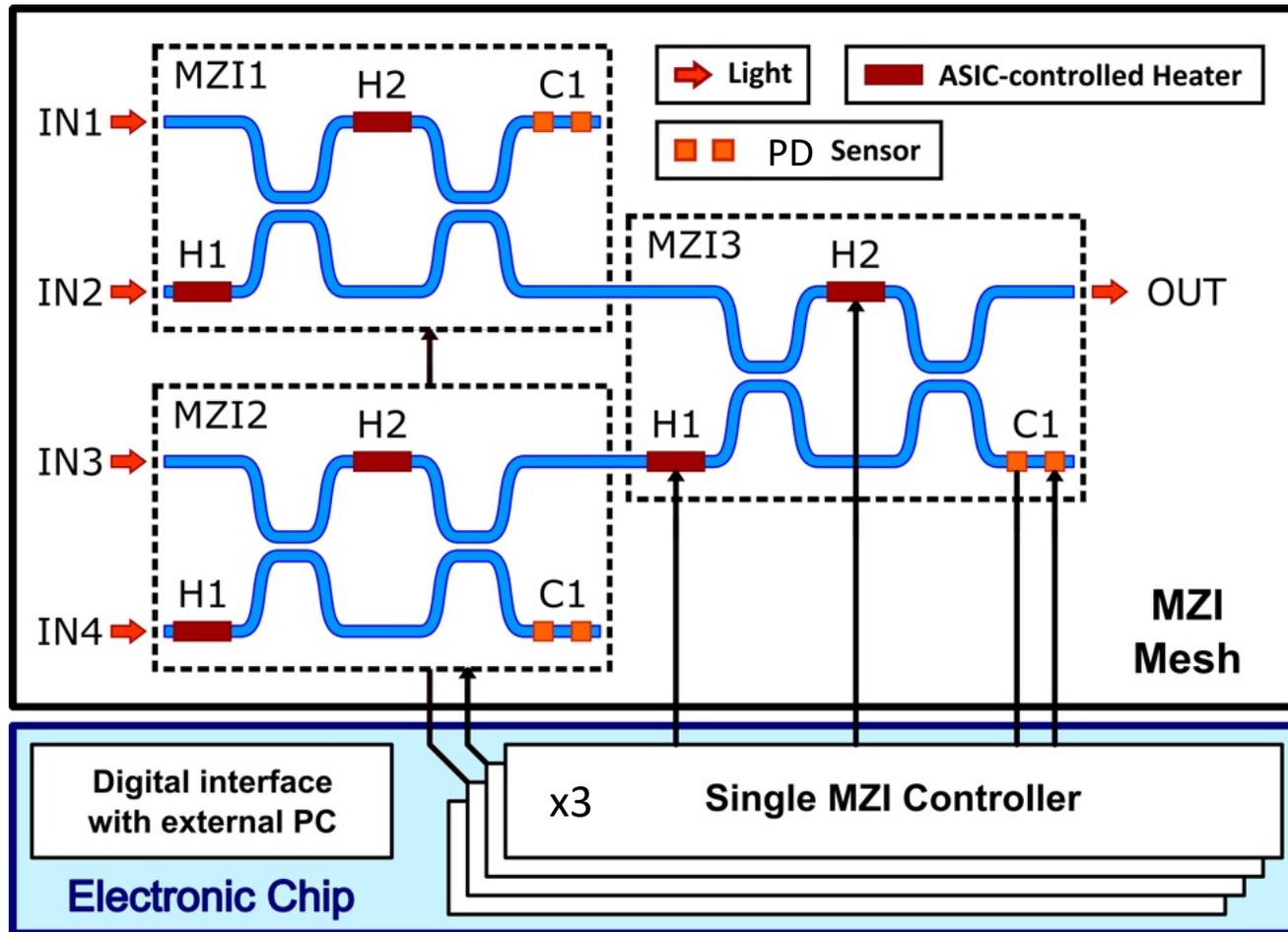
- The output of each 2x1 MZI is added to the next input signal
- Calibration strategy (same as before): zeroing the power at monitor ports

David A. B. Miller, "Self-aligning universal beam coupler," Opt. Express 21, 6360-6370 (2013)



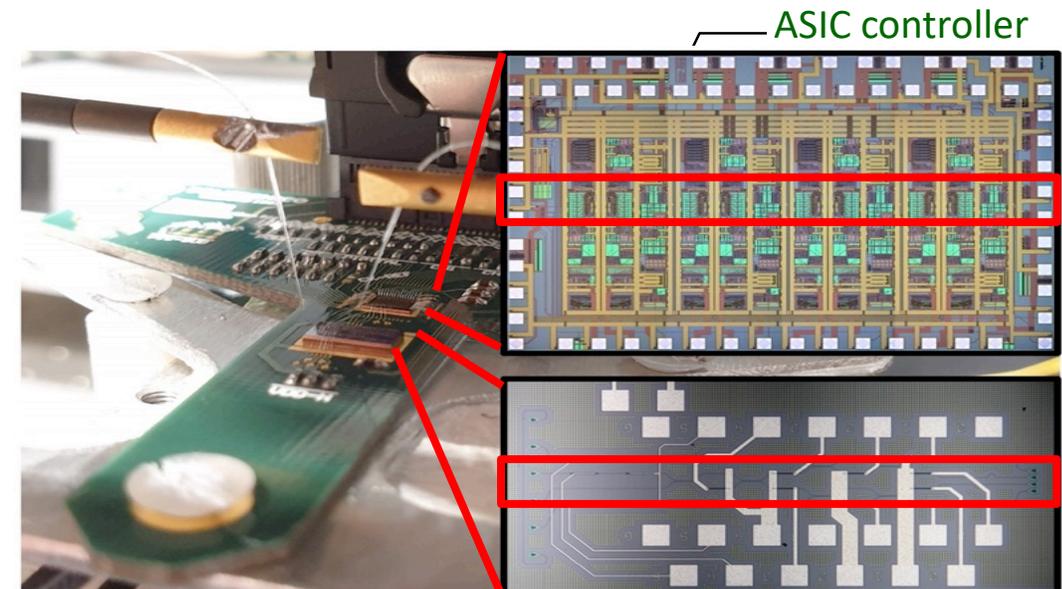
- Automatic (dithering-based) self-configuration of a MZI from any initial condition
- Track input signal changes (amplitude/phase)
- Compensate thermal fluctuation & fabrication variability
- Full processor stabilization in **ms scale**
- Double-dithering control strategy





*M. Sampietro, OPTICS 2023, Session 1, 8<sup>th</sup> Nov.*

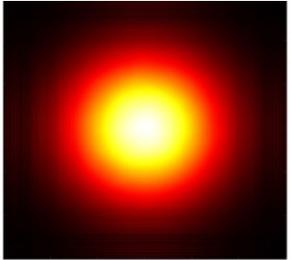
- Automatic self-configuration of MZIs from any initial condition
- Control in parallel, not sequential
- Complexity Scaling: linear with  $M$
- Time convergence scaling  $M^{1/2}$
- Stabilization of 8 cascaded MZIs in  $<10$  ms



# Free Space Optical (FSO) Link

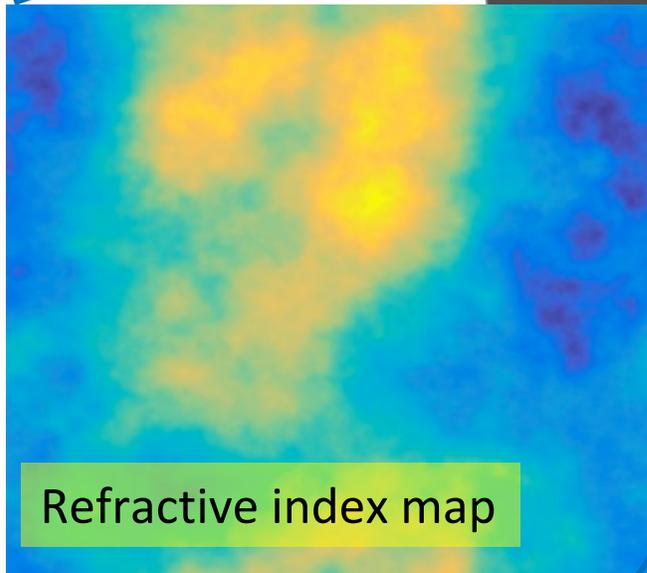
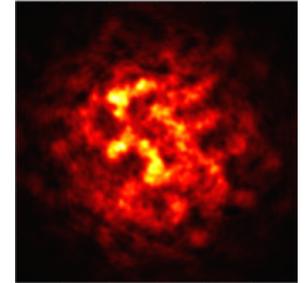


Transmitter - TX



Wind, rain, fog, snow, temperature and pressure gradients...

Receiver - RX

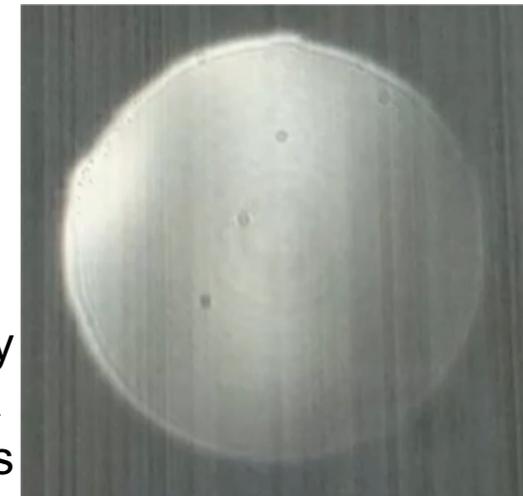


Refractive index map

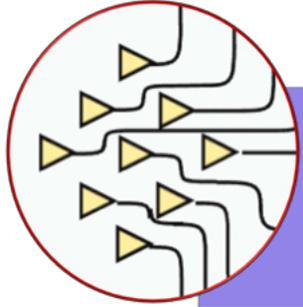
## Free Space Channel

- Attenuation
- Multipath
- Time varying channel
- **Turbulence** (scintillation & wander)
- **Obstacles**
- Polarization

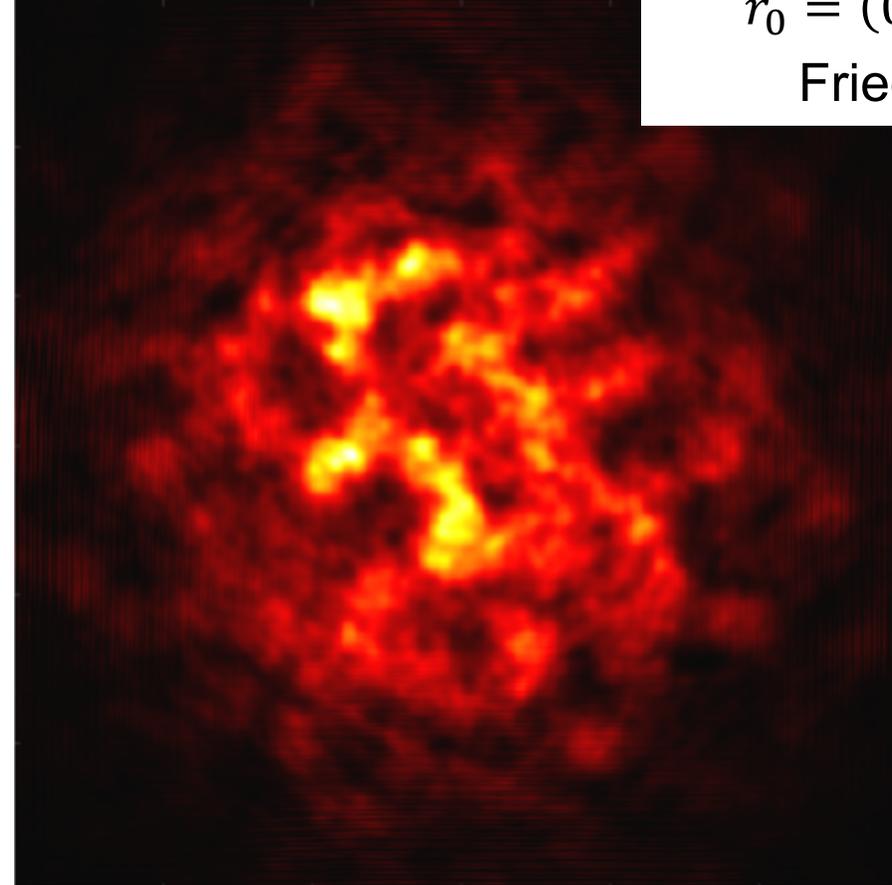
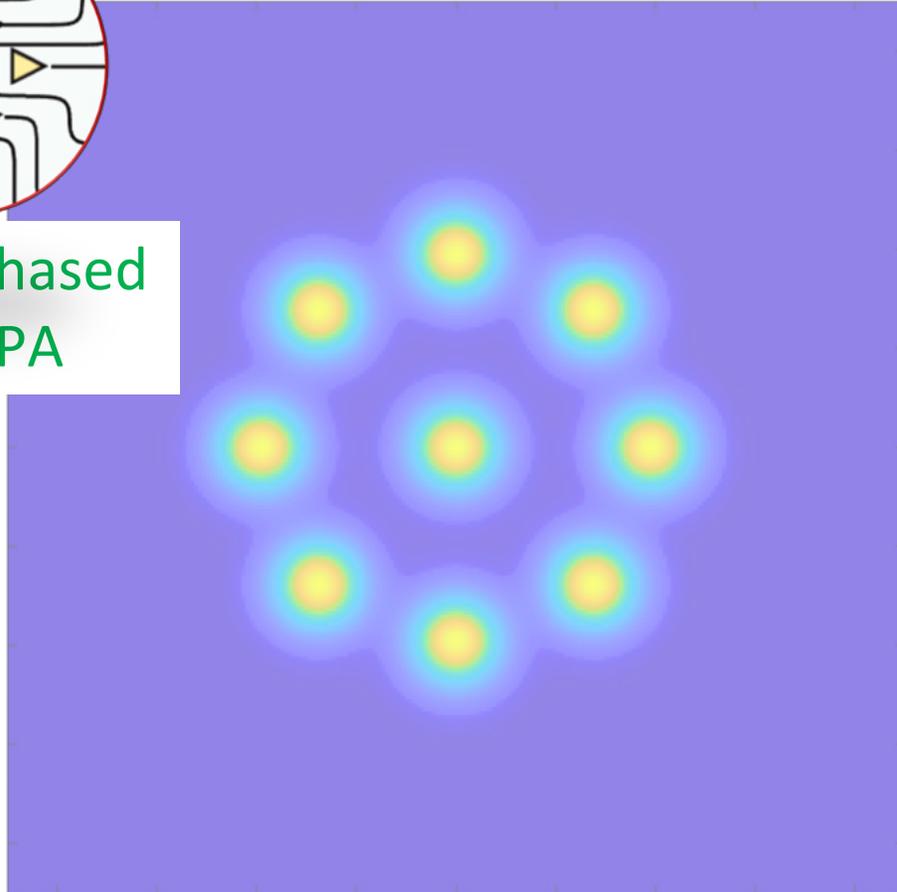
summer, clear sky  
320 m FSO link  
100 fps



# MULTIAPERTURE receiver for a turbulence affected beam



Optical Phased  
Array - OPA



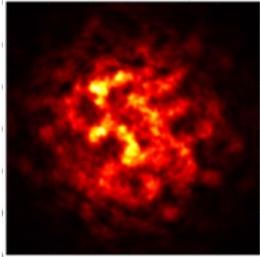
$$r_0 = (0.423k^2 C_n^2 L)^{-\frac{3}{5}}$$

Fried parameter

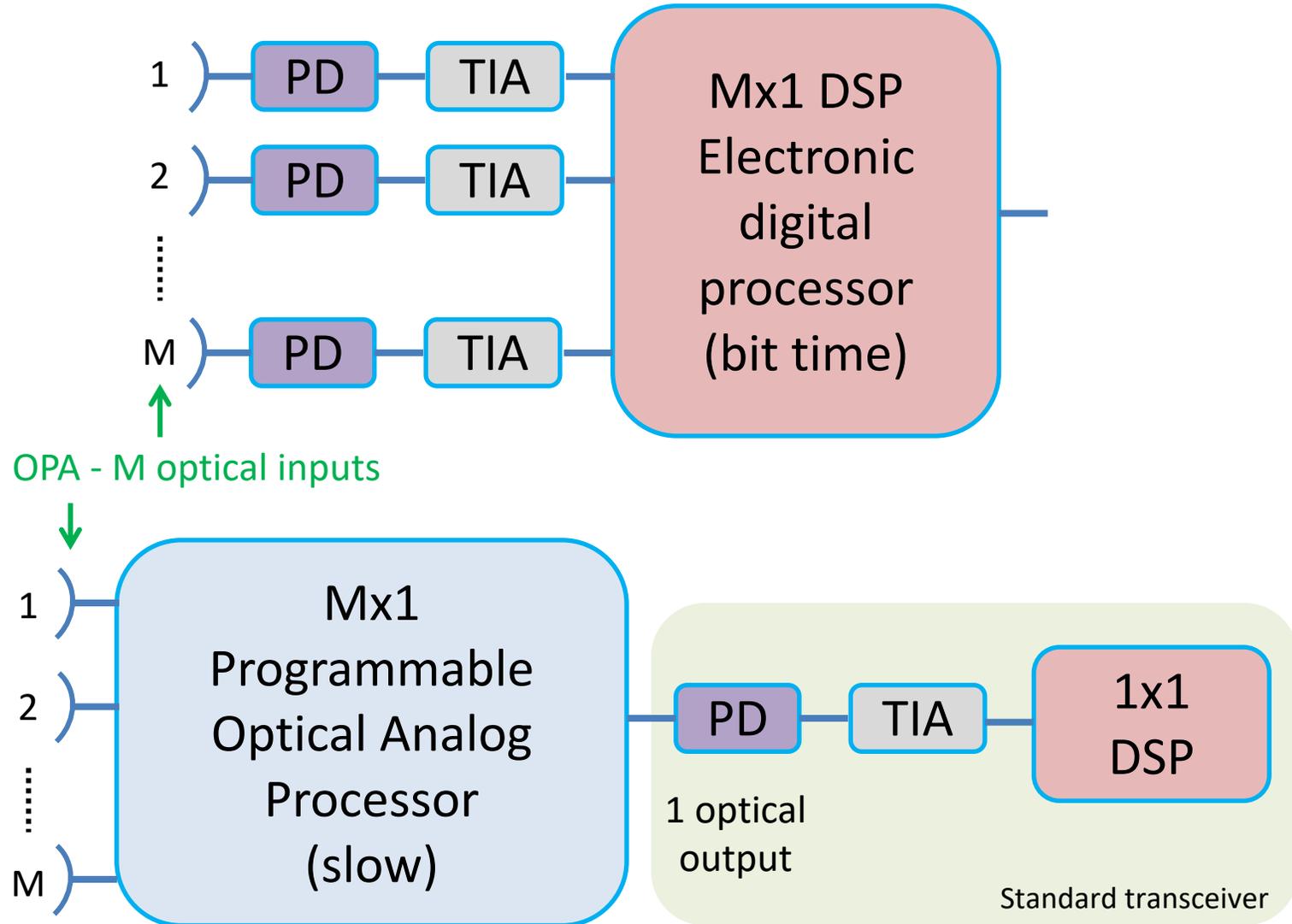
$M$  field contributions to be **combined coherently...**  
in the electrical or optical domain?

# Optical analog processor vs electronic digital processor

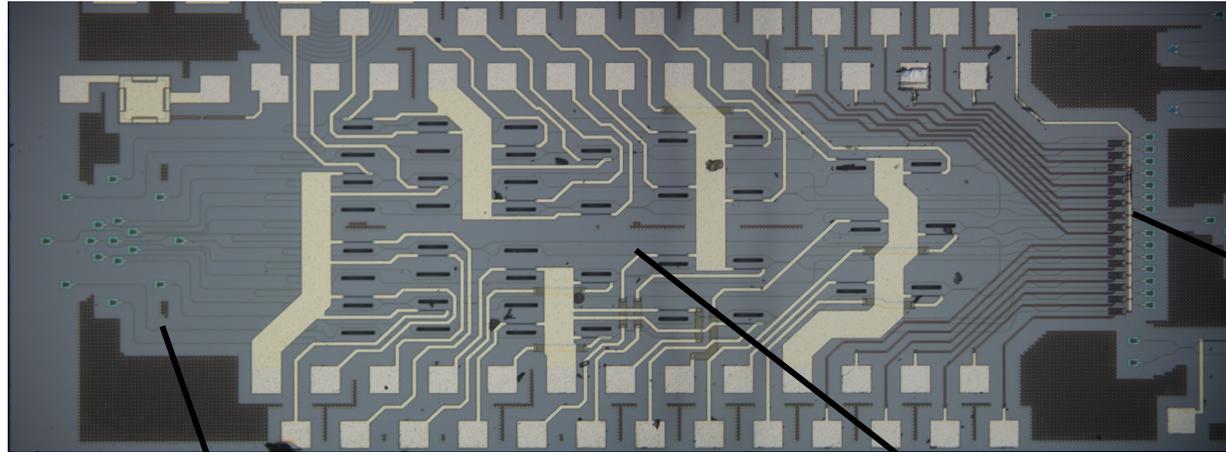
Spatially  
non-coherent beam



- Optical output
- Turbulence is a slow process (**100s Hz speed**)
- “slow optical analog processor” vs “bit-time scale Mx1 electronic DSP”
- Energy and cost effective
- Scalability to high M optical inputs
- Coherent (QAM) modulation formats



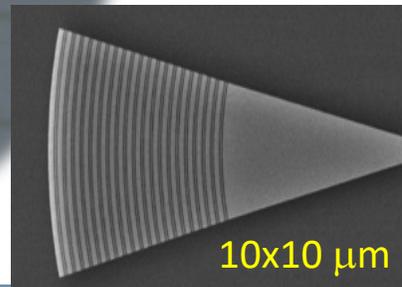
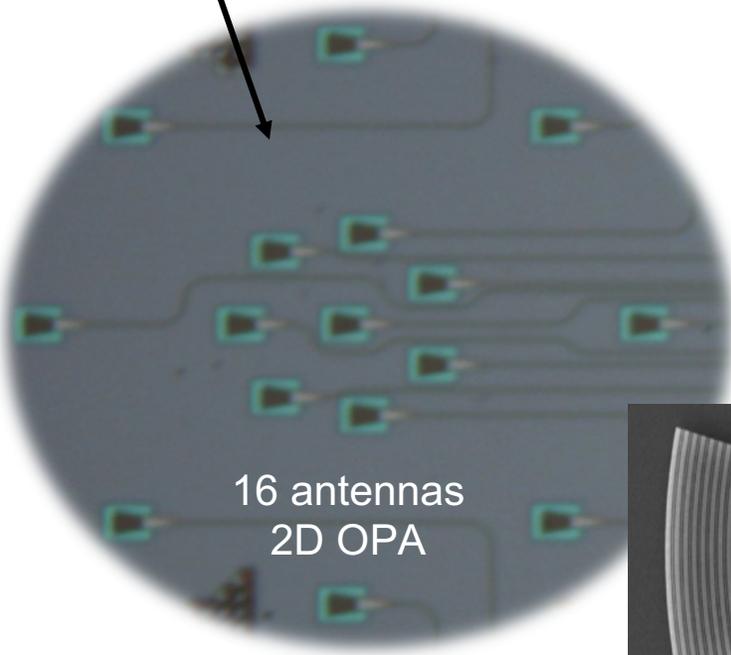
# Silicon Programmable Optical Processor



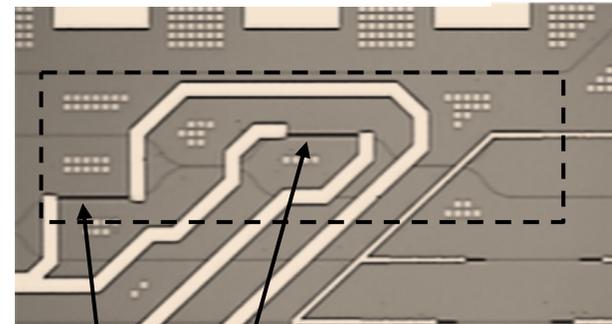
2 $\mu\text{m}$	SiO <sub>2</sub> superstrate	n = 1.44	cladding
220nm	Si structures	n = 3.47	waveguide
3 $\mu\text{m}$	SiO <sub>2</sub> substrate	n = 1.44	cladding
750 $\mu\text{m}$	Si base		

mode

Silicon photonic stack

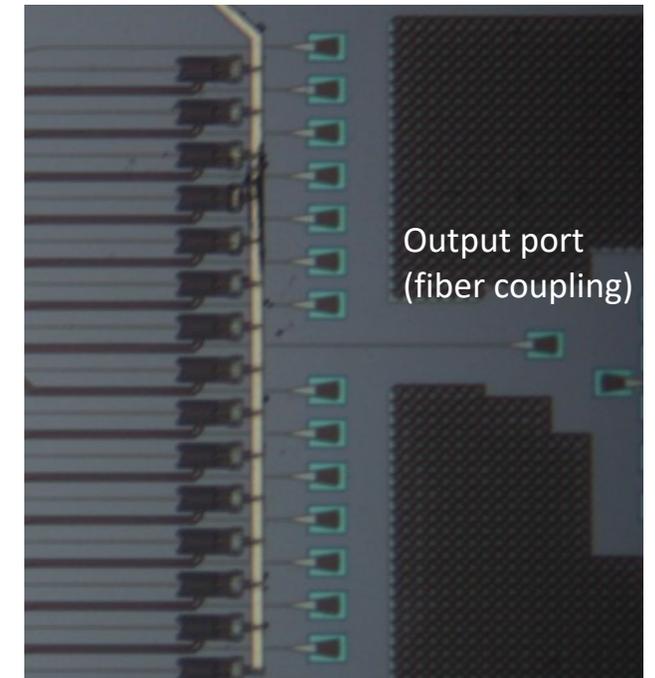


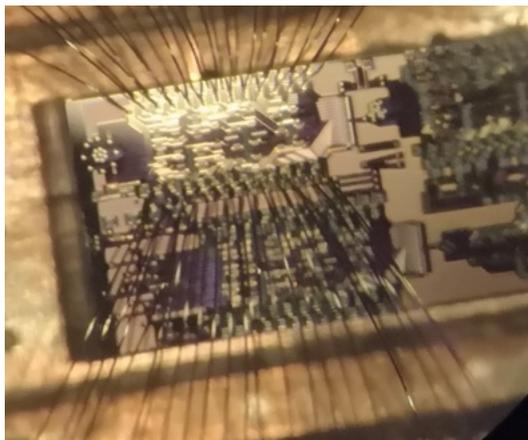
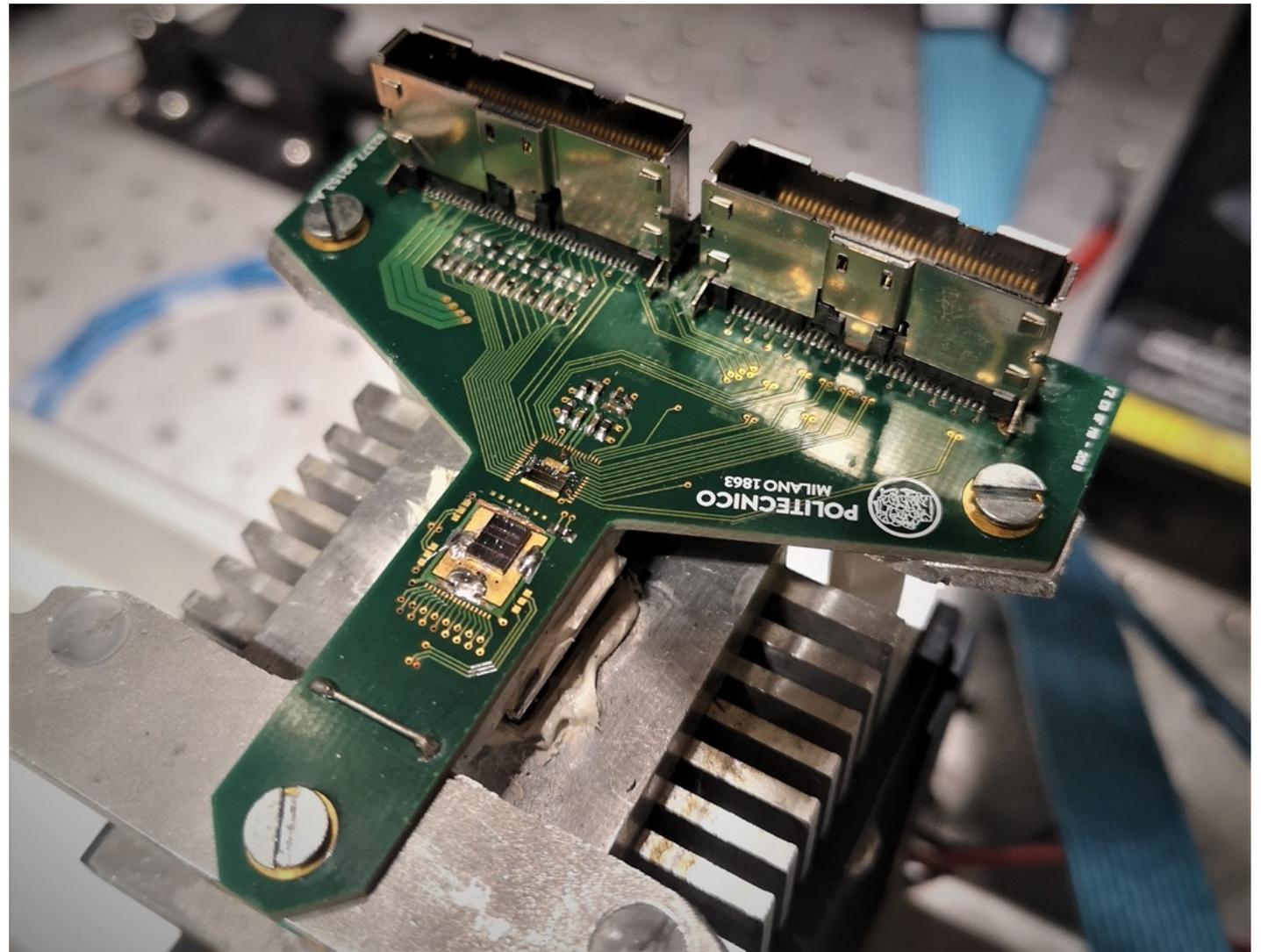
16 Thermally controlled MZI



Thermal tuners  
(phase shifters)

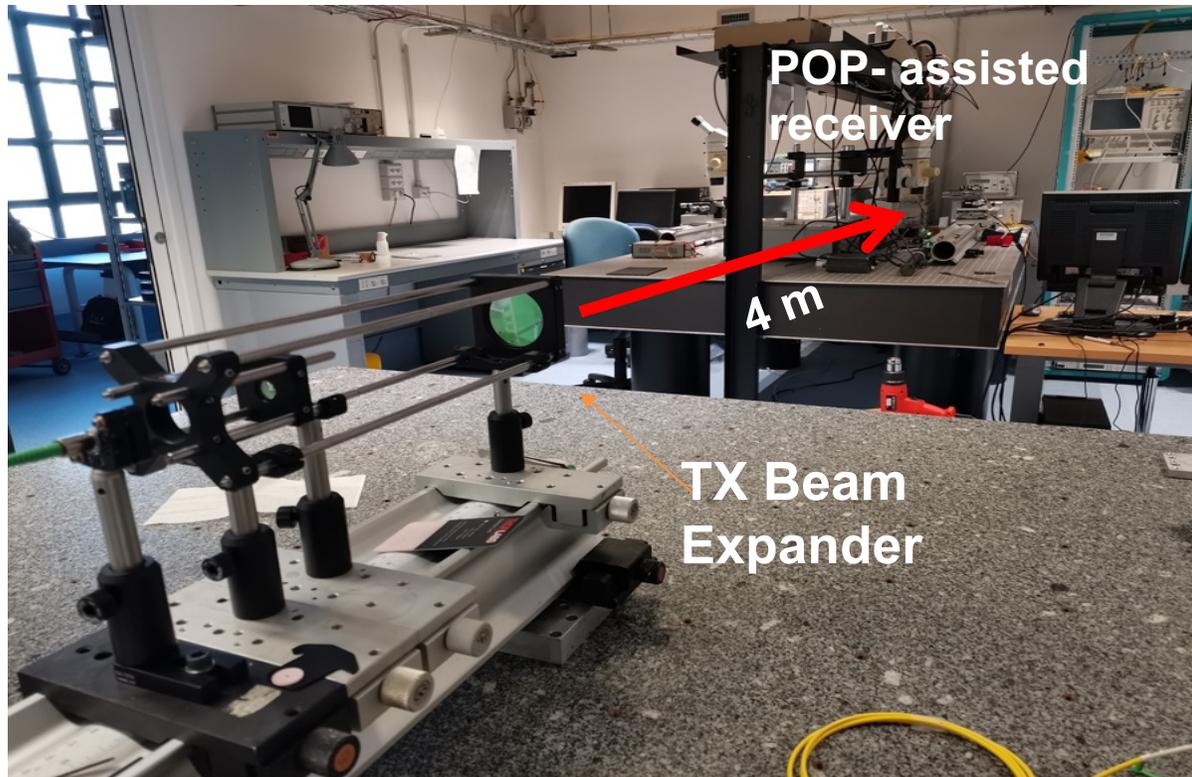
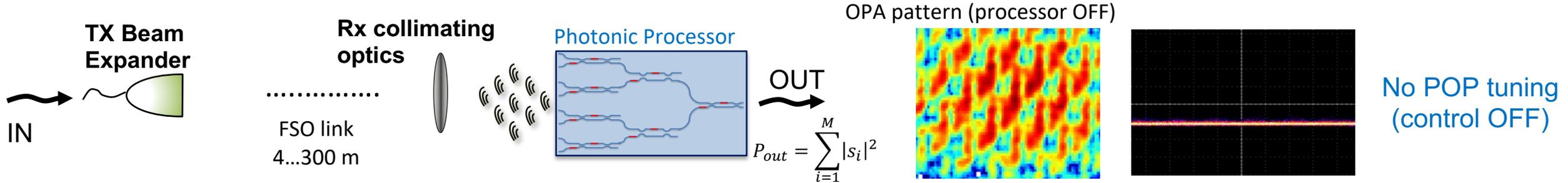
15 integrated monitor PDs



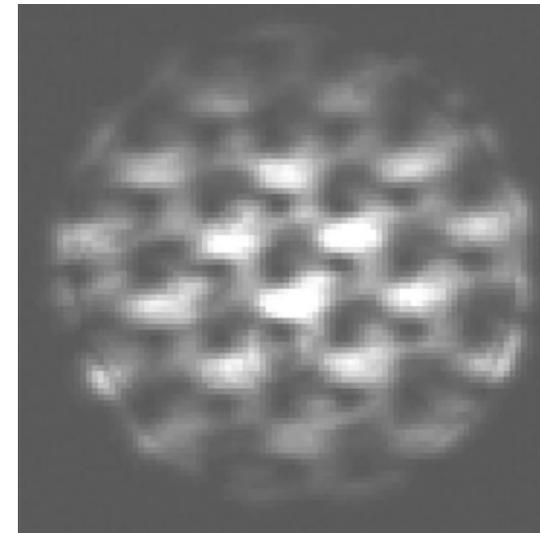


Wire-bonded photonic chip

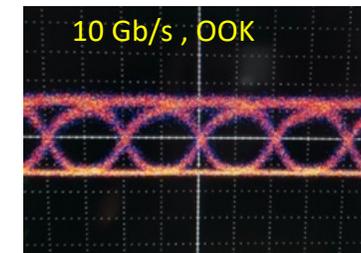
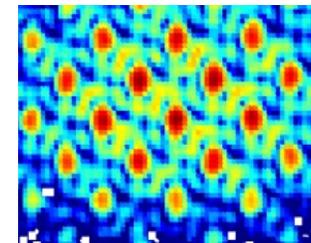
# Setting up the Photonic Processor-receiver automatically



10 Gbit/s OOK modulated channel



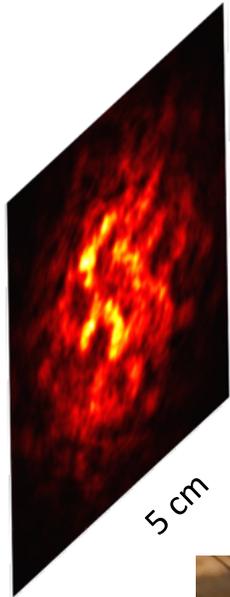
OPA pattern (processor ON)



FSO link  
automatically  
established

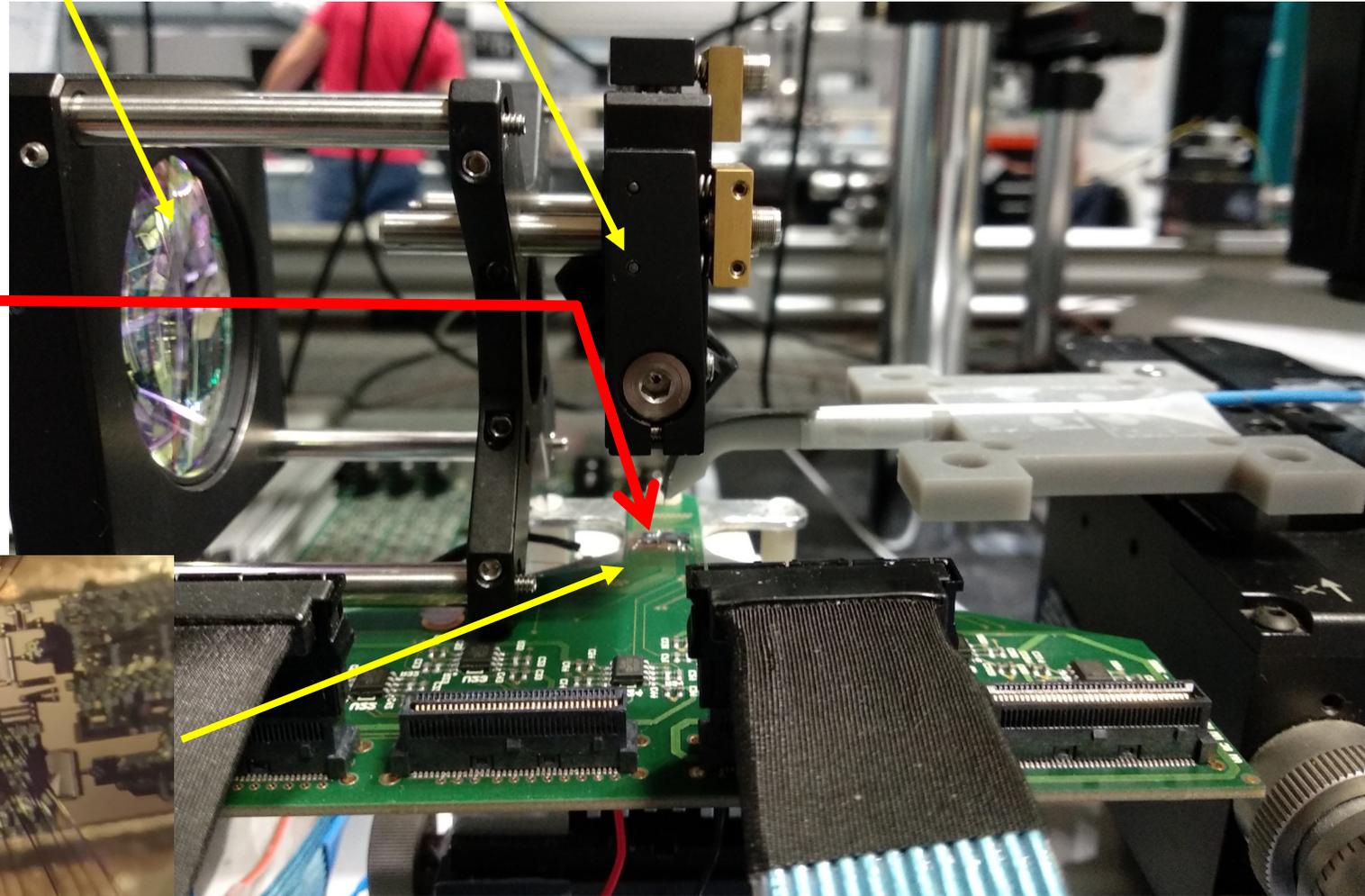
# The POP- assisted receiver

Turbulent free  
space optical beam



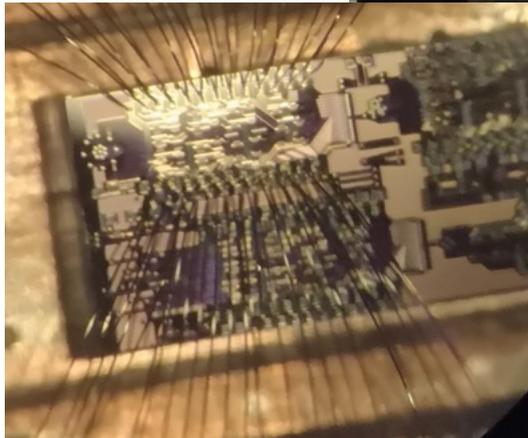
Collimating optics

45° turning mirror

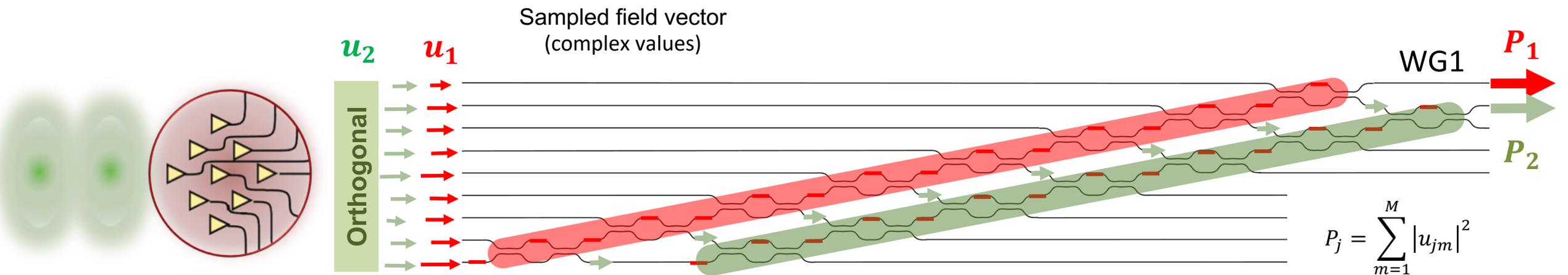


OUT  
Optical fiber

Wire-  
bonded  
photonic  
chip



# Separating orthogonal free space modes



The photonic processor can separate pairs of arbitrary orthogonal beams at the output ports  $WG_j$

Preprint: Milanizadeh et al.  
arXiv:2112.13644

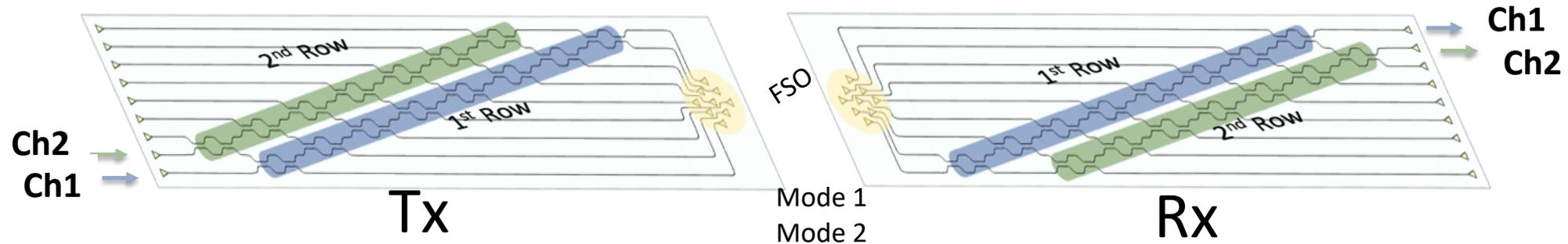
Beam orthogonality:  $u_2^\dagger u_1 = 0$

The photonic processor can SEPARATE arbitrary orthogonal beams AT the OUTPUT ports  $WG_j$

The photonic processor can GENERATE arbitrary orthogonal beams FROM the INPUT ports  $WG_j$

# Why not using a photonic processor also at the Tx side?

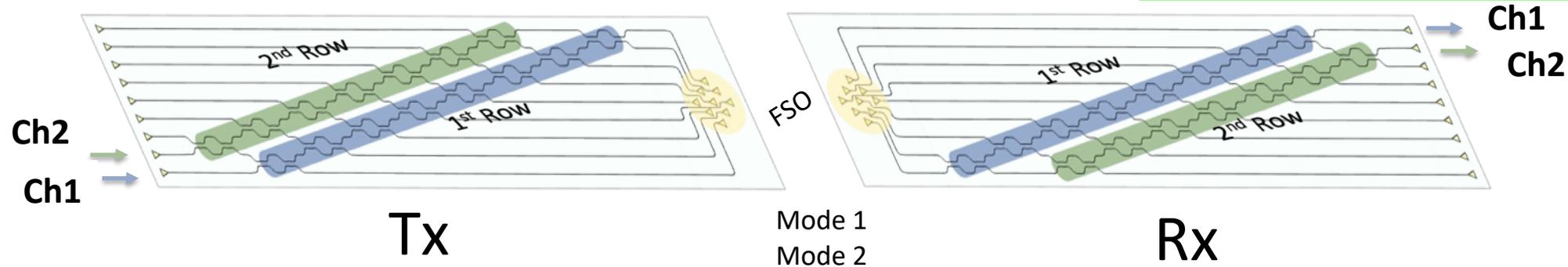
M. Milanizadeh et al., *Light: Science & Applications*, 2022



Precompensation of the channel (turbulence)  
Establishing the best communication channels in a FSO link

# Why not using a photonic processor also at the Tx side?

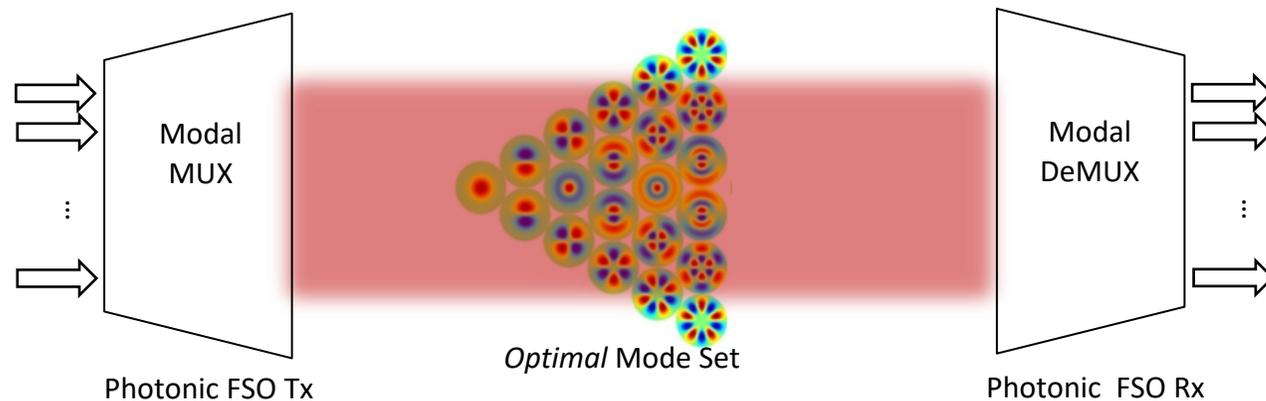
M. Milanizadeh et al., *Light: Science & Applications*, 2022



Precompensation of the channel (turbulence)

Establishing the best communication channels in a FSO link

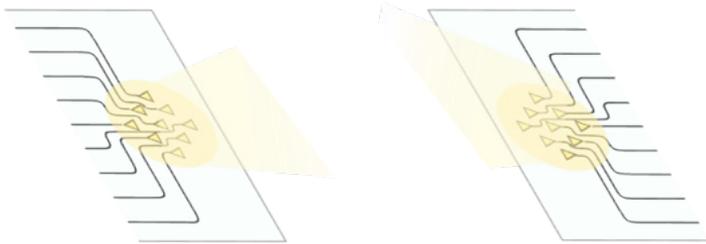
N antennas... up to N spatial **orthogonal** modes → Towards free-space optical MIMO



# The BEST communication channels (MODES) of a link

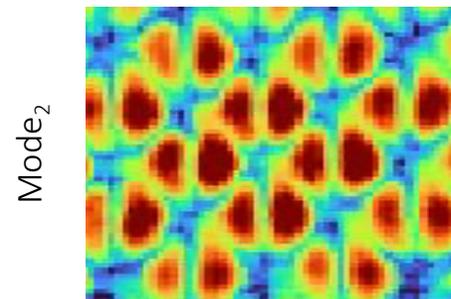
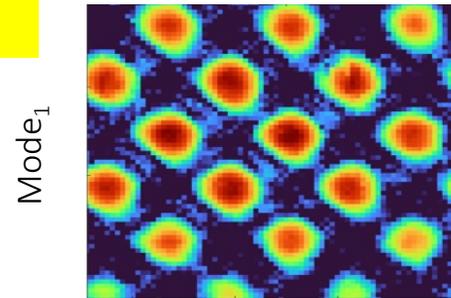
... through any scatter or turbulent medium

Inserting an **obstacle** in the far field: e.g. a pattern of obstructing spots

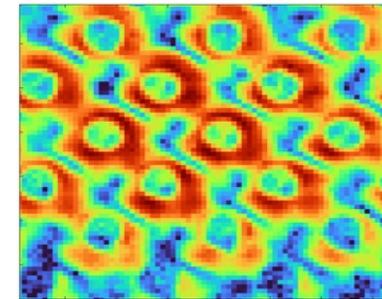
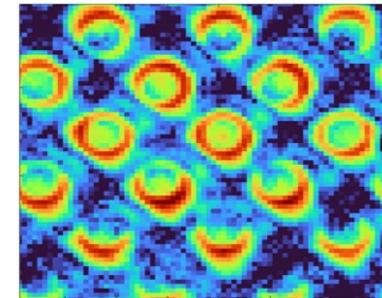


**Beam shapes not belonging to any standard family of modes, yet mutually orthogonal**

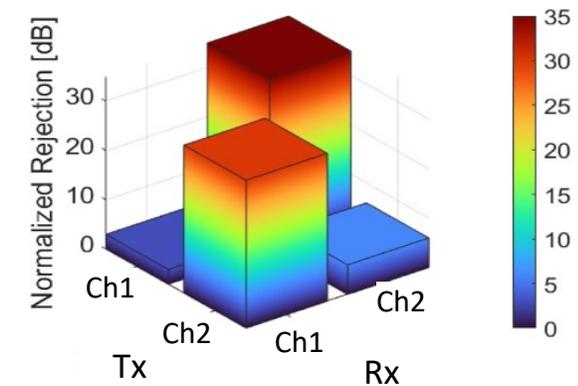
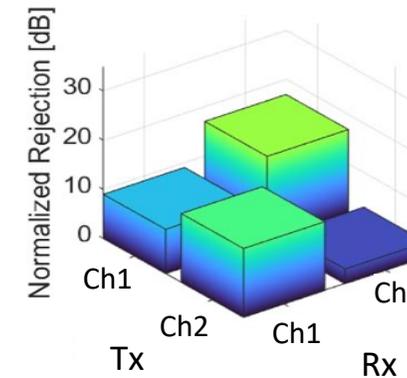
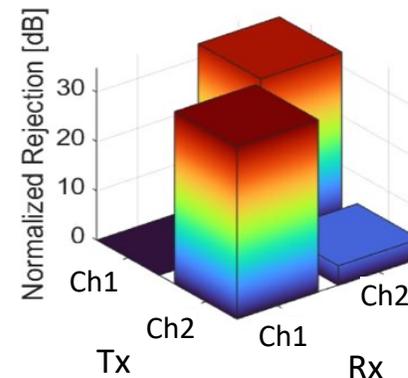
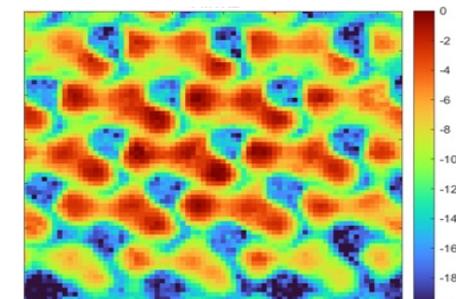
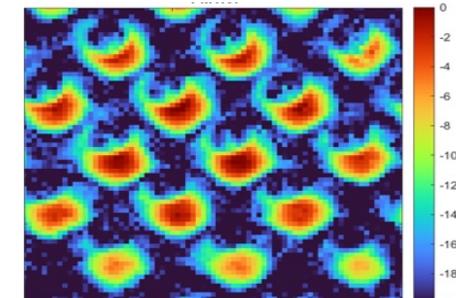
Initial Beam Shapes



Obstacle is inserted



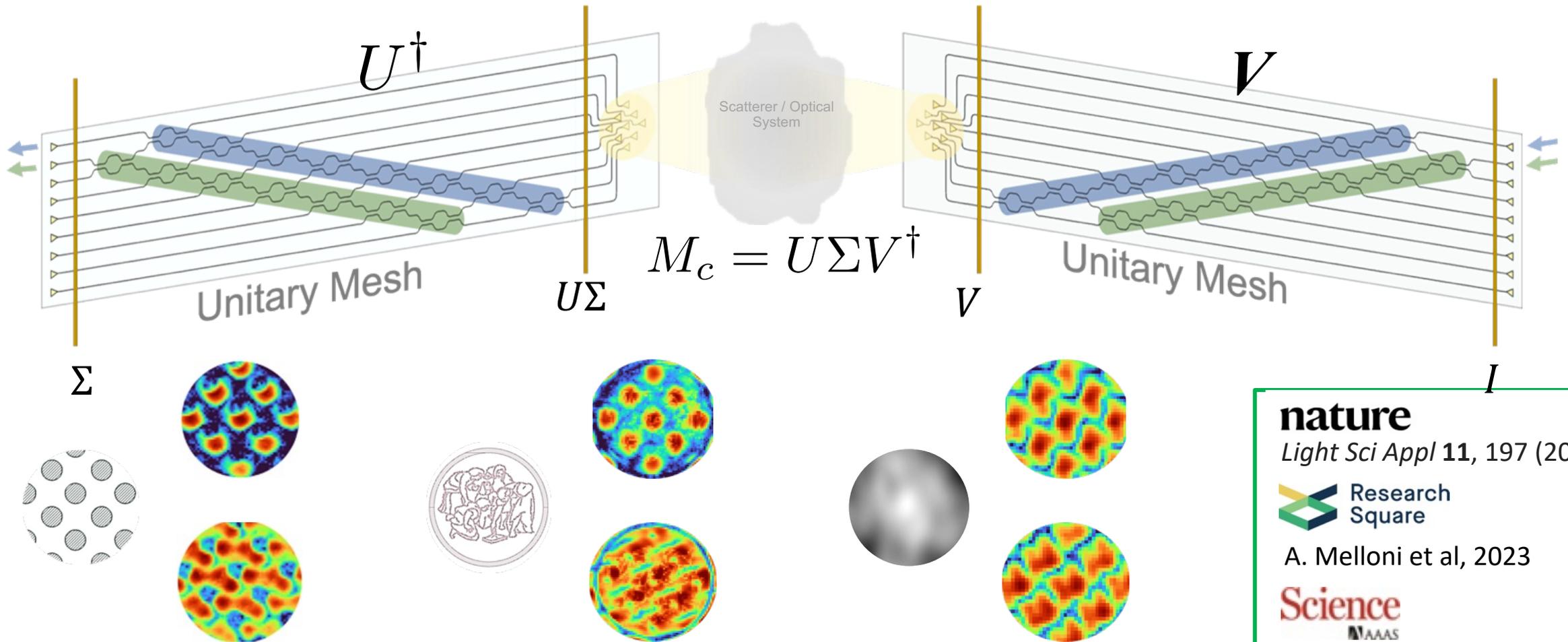
POP adaptation



# The processors are performing calculations... !



This is a physical implementation of **singular value decomposition (SVD)** of the channel transmission matrix



**nature**  
*Light Sci Appl* **11**, 197 (2022)

Research Square

A. Melloni et al, 2023

**Science**  
380, 398–404, Apr 2023

**Applications:** general purpose, Matrix Algebra, mathematical accelerators, convolutional NN, tensor cores,...

- A Mesh of Mach-Zehnder interferometer implements a reconfigurable **UNITARY MATRIX**
- The processor performs vector-matrix and matrix-matrix **ANALOG** calculations
- Applications: Photonic processor assisted receiver for **turbulence mitigation in FSO links**. A two-processor (Tx-Rx) link opens to optical MIMO with “best” modes.
- Two-processors can perform the **singular-value decomposition** of the transmission matrix
- The system can self-configure adaptively, automatically and **without any calculations**



Photonic Devices Group



Prof. Marco Sampietro Group  
for the development of the control electronics